

**Form No. MGT - 9**

**EXTRACT OF ANNUAL RETURN  
as on the financial Year ended on March 31, 2019**

[Pursuant to section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS :**

i)	CIN	L65191TN1986PLC012840
ii)	Registration Date	March 27, 1986
iii)	Name of the Company	Shriram City Union Finance Limited
iv)	Category/Sub- Category of the Company	Non Banking Finance Company
v)	Address of the Registered Office and contact details	123, Angappa Naicken Street, Chennai – 600 001, Tel No. +91 44 2534 1431
vi)	Whether listed company	Yes
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Integrated Enterprises (India) Limited 2 <sup>nd</sup> Floor, 'Kences Towers', No.1 Ramakrishna Street, North Usman Road, T Nagar, Chennai – 600 017 Tel No.:+9144 2814 0801 - 03

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ Service	% to total turnover of the Company
1	Non Banking Financial Services	64990	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. No.	Name and Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable section of the Companies Act, 2013
1	Shriram Housing Finance Limited	U65929TN2010PLC078004	Subsidiary	77.25	2 (87)

#### IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage to Total Equity)

##### i) Category-wise share holding

Sl. No.	Category of shareholders	No. of shares held at the beginning of the Year				No. of shares held at the end of the Year				% Change during the Year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>A.</b>	<b>Promoters</b>									
<b>1.</b>	<b>Indian</b>									
a)	Individual/HUF	-	-	-	-	-	-	-	-	-
b)	Central Govt	-	-	-	-	-	-	-	-	-
c)	State Govt (s)	-	-	-	-	-	-	-	-	-
d)	Bodies Corporate	22,268,877		22,268,877	33.76	22,268,877		22,268,877	33.74	-
e)	Banks/FIs	-	-	-	-	-	-	-	-	-
f)	Any other	-	-	-	-	-	-	-	-	-
	<b>Sub-total (A) (1)</b>	<b>22,268,877</b>	<b>-</b>	<b>22,268,877</b>	<b>33.76</b>	<b>22,268,877</b>	<b>-</b>	<b>22,268,877</b>	<b>33.74</b>	<b>-</b>
<b>2.</b>	<b>Foreign</b>									
a)	NRI's - Individuals	-	-	-	-	-	-	-	-	-
b)	Other-Individuals	-	-	-	-	-	-	-	-	-
c)	Bodies Corporate	-	-	-	-	-	-	-	-	-
d)	Banks /FIs	-	-	-	-	-	-	-	-	-
e)	Any other	-	-	-	-	-	-	-	-	-
	<b>Sub-total (A) (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total Shareholding of Promoter (A) = (A) (1) + (A) (2)</b>	<b>22,268,877</b>	<b>-</b>	<b>22,268,877</b>	<b>33.76</b>	<b>22,268,877</b>	<b>-</b>	<b>22,268,877</b>	<b>33.74</b>	<b>-</b>



b)	Individuals									
i)	Individual shareholders holding nominal Share Capital upto ₹ 1 Lakh	11,04,369	1,82,827	12,87,196	1.95	10,25,727	1,47,080	11,72,807	1.78	(0.17)
ii)	Individual shareholders holding nominal share capital in excess of ₹ 1 Lakh	5,90,460	-	5,90,460	0.90	4,87,468	-	4,87,468	0.74	(0.16)
c)	Others (specify)									
	Clearing Members	4,819	-	4,819	0.01	5,352	-	5,352	0.01	0.00
	Trusts	5,600	-	5,600	0.01	-	-	-	-	(0.01)
	NRI	2,55,889	-	2,55,889	0.39	1,75,307	-	1,75,307	0.27	(0.12)
	Limited Liability Partnership	23,804	-	23,804	0.04	22,452	-	22,452	0.03	(0.00)
	Corporate Bodies (Foreign Bodies)	1,34,21,889	-	1,34,21,889	20.35	1,34,21,889	-	1,34,21,889	20.34	0.00
	Unclaimed Securities Suspense A/c	550	-	550	0.00	500	-	500	0.00	(0.00)
	IEPF Authority	47,788	-	47,788	0.07	52,794	-	52,794	0.08	0.01
	<b>Sub-total (B) (2)</b>	<b>2,23,82,254</b>	<b>1,84,777</b>	<b>2,25,67,031</b>	<b>34.21</b>	<b>2,19,68,531</b>	<b>1,49,030</b>	<b>2,21,17,561</b>	<b>33.52</b>	<b>(0.68)</b>
	<b>Total Public Shareholding (B) = (B) (1) + (B) (2)</b>	<b>4,35,12,108</b>	<b>1,84,777</b>	<b>4,36,96,885</b>	<b>66.24</b>	<b>4,35,74,410</b>	<b>1,49,030</b>	<b>4,37,23,440</b>	<b>66.26</b>	<b>0.04</b>
C.	Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
	<b>Grand Total (A+B+C)</b>	<b>6,57,80,985</b>	<b>1,84,777</b>	<b>6,59,65,762</b>	<b>100.00</b>	<b>6,58,43,287</b>	<b>1,49,030</b>	<b>6,59,92,317</b>	<b>100.00</b>	<b>0.04</b>

ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the Year			No. of shares held at the end of the Year			% Change in shareholding during the Year
		No. of shares	% of total shares of the Company	% of Share Pledged / Encumbered to total shares	No. of Shares	% of total shares of the Company	% of Share Pledged / Encumbered to total shares	
1.	Shriram Capital Limited	22268877	33.76	-	22268877	33.74	-	0.00

iii) Change in Promoters' Shareholding (please specify, if there is no change) – There is no change in the Promoters' shareholding.

Sl. No.		Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1.	At the beginning of the Year	22268877	33.76	22268877	33.74
	Date wise Increase/ Decrease in Promoters Share holding during the Year specifying the reasons for increase/decrease (e.g. Allotment/ transfer/ bonus/ sweat equity etc)	-	-	-	-
	At the end of the Year	22268877	33.76	22268877	33.74

iv) Shareholding Pattern of top ten shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name of the shareholder	Shareholding at the beginning (April 1, 2018)/ End of the the Year (March 31, 2019)					Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the Company	Date	Increase/ Decrease in shareholding during the Year specifying the reasons	Reasons	No. of Shares	% of total shares of the Company
1	Dynasty Acquisition FPI Ltd	13421889	20.354	Apr 1, 2018	-	Acquisition of shares from TPG India Investments I INC in off market transaction	13421889	20.339
		13421889	20.354	Mar 31, 2019			13421889	20.339
2	Piramal Enterprises Limited	6579840	9.978	Apr 1, 2018	-	-	6579840	9.971
		6579840	9.978	Mar 31, 2019	-	-	6579840	9.971
3	East Bridge Capital Master Fund Limited	2562364	3.886	Apr 1, 2018	-	-	2562364	3.883
		2562364	3.886	Mar 31, 2019	-	-	2562364	3.883
4	Matthews India Fund	1873192	2.841	Apr 1, 2018	-	-	1873192	2.839
		1873192	2.841	Mar 31, 2019	-	-	1873192	2.839
5	Morgan Stanley Asia (Singapore) Pte.	1482094	2.248	Apr 1, 2018			1482094	2.248
				Aug 3, 2018	-1318912	Transfer	163182	0.247
				Sep 14, 2018	-157	Transfer	163025	0.247
				Oct 12, 2018	-162	Transfer	162863	0.247
				Oct 19, 2018	-3	Transfer	162860	0.247
				Oct 26, 2018	-17	Transfer	162843	0.247
				Nov 2, 2018	-34	Transfer	162809	0.247
				Jan 25, 2019	-162809	Transfer	0	0.000
		Mar 31, 2019	-	-	-	-		

Sl. No.	Name of the shareholder	Shareholding at the beginning (April 1, 2018)/ End of the the Year (March 31, 2019)					Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the Company	Date	Increase/Decrease in shareholding during the Year specifying the reasons	Reasons	No. of Shares	% of total shares of the Company
6	Aditya Birla Sun Life Trustee Private Limited (under various sub accounts)	1352118	2.050	Apr 1, 2018	-	-	1352118	2.050
				Jun 15, 2018	-120000	Transfer	1232118	1.867
				Jun 22, 2018	120000	Transfer	1352118	2.049
				Jun 29, 2018	745	Transfer	1352863	2.050
				Sep 07, 2018	-2371	Transfer	1350492	2.046
				Oct 12, 2018	-8800	Transfer	1341692	2.033
				Feb 08, 2019	-109292	Transfer	1232400	1.867
				Feb 22, 2019	-10708	Transfer	1221692	1.851
		Mar 31, 2019	-	-	1221692	1.851		
7	Cornalina Acquisition Limited (FII)	1223810	1.856	Apr 1, 2018	-	-	1223810	1.854
		1223810	1.856	Mar 31, 2019	-	-	1223810	1.854
8	WF Asian Smaller Companies Fund Limited	1113589	1.689	Apr 1, 2018	-	-	1113589	1.689
				Aug 10, 2018	174953	Transfer	1288542	1.953
				Aug 24, 2018	191278	Transfer	1479820	2.242
				Aug 31, 2018	36997	Transfer	1516817	2.298
				Mar 31, 2019	-	-	1516817	2.298
9	Acacia Partners, LP	1000000	1.516	Apr 1, 2018	-	-	1000000	1.516
		1000000	1.515	Mar 31, 2019	-	-	1000000	1.515
10	SBI Mutual Funds (under various sub accounts)	972398	1.475	Apr 1, 2018	-	-	972398	1.475
		972398	1.474	Mar 31, 2019	-	-	972398	1.474
11	East Bridge Capital Master Fund I Ltd	0	0.000	April 1, 2018	-	-	0	0.000
				Aug 10, 2018	1331467	Transfer	1331467	2.018
				Sep 21, 2018	2240	Transfer	1333707	2.021
				Sep 28, 2018	121512	Transfer	1455219	2.205
				Oct 05, 2018	23200	Transfer	1478419	2.240
				Jan 25, 2019	162809	Transfer	1641228	2.487
				March 31, 2019	-	-	1641228	2.487
12	WF Asian Reconnaissance Fund Limited	650493	0.986	Apr 1, 2018	-	-	650493	0.986
				Aug 10, 2018	100047	Transfer	750540	1.137
				Aug 24, 2018	109384	Transfer	859924	1.303
				Aug 31, 2018	21156	Transfer	881080	1.335
				Nov 09, 2018	39100	Transfer	920180	1.394
				Nov 16, 2018	24000	Transfer	944180	1.431
				Nov 23, 2018	50229	Transfer	994409	1.507
				Nov 30, 2018	7000	Transfer	1001409	1.517

				Dec 07, 2018	205336	Transfer	1206745	1.829
				Jan 25, 2019	4446	Transfer	1211191	1.835
				Feb 01, 2019	303875	Transfer	1515066	2.296
				Feb 08, 2019	2879	Transfer	1517945	2.300
				Mar 31, 2018	-	-	1517945	2.300

Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Name	Shareholding at the beginning (April 1, 2018)/ End of the the Year (March 31, 2019)		Date	Increase/Decrease in shareholding during the Year specifying the reasons	Reasons	Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Sri Duruvasan Ramachandra, MD & CEO	-	-	Apr, 2018	-	-	-	-
		-	-	Mar 31, 2019	-	-	-	-
2	Sri Ramasubramanian Chandrasekar, CFO	-	-	Apr 1, 2018	-	-	-	-
		-	-	Mar 31, 2019	-	-	-	-
3	Sri C R Dash, CS	8277	0.00	Apr 1, 2018				0.00
				May 9, 2018	105	Transfer	8172	0.00
			0.00	Mar 31, 2019			8172	0.00

Managing Director and Chief Executive Officer (“MD & CEO”), Chief Financial Officer (“CFO”), Company Secretary (“CS”). None of the Directors hold any shares in the Company.

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment  
₹ in crores

		Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness as on April 1, 2019	i) Principal Amount	14,651.32	3,347.20	2,979.46	20,977.98
	ii) Interest due but not paid	-	-	-	-
	iii) Interest accrued but not due	136.52	227.80	231.96	596.28



	<b>Total (i+ii+iii)</b>	<b>14,787.84</b>	<b>3,575.00</b>	<b>3,211.42</b>	<b>21,574.25</b>
Change in Indebtedness during the financial Year	Addition	11,559.37	8,215.83	1,451.33	21,226.53
	Reduction	9,554.98	9,158.01	1,410.70	20,123.69
Net Change		2,004.38	(942.17)	40.63	1,102.84
Indebtedness at the end of the financial Year	Principal Amount	16,655.70	2,405.03	3,020.08	22,080.81
	Interest due but not paid	-	-	-	-
	Interest accrued but not due	275.25	168.09	215.14	658.48
	<b>Total (i+ii+iii)</b>	<b>16,930.95</b>	<b>2,573.11</b>	<b>3,235.23</b>	<b>22,739.29</b>

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and/ or Manager :

₹ in crores

Sl. No.	Particulars of Remuneration	Sri Duruvasan Ramachandra*	Total Amount
1.	Gross Salary		
(a)	Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	0.66	0.66
(b)	Value of perquisites u/s 17(2) of the Income Tax Act, 1961		
(c)	Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-
2.	Stock Option	-	-
3.	Sweat Equity	-	-
4.	Commission	-	-
5.	Others, please specify	-	-
	<b>Total (A)</b>	<b>0.66</b>	<b>0.66</b>

\*Managing Director

### B. Remuneration to other directors:

₹ in crores

Sl. No.	Particulars of Remuneration	Name of Directors						Total Amount
		Sri Debendra nath Sarangi	Smt Maya S Sinha	Sri Pranab Prakash Pattanayak	Sri Subramaniam Krishnamurthy	Sri Venkataraman Murali	Sri Vipen Kapur	
I	Independent Directors							
	Fee for attending Board Committee Meetings	0.0525	0.0395	0.04	0.0595	0.0545	0.0545	<b>0.3005</b>
	Commission	-	-	-	-	-	-	-

	Others, please specify	-	-	-	-	-	-	-
	Total (I)	0.0525	0.0395	0.04	0.0595	0.0545	0.0545	<b>0.3005</b>
II	Other Non-Executive Directors							
	Fee for attending Board Committee meetings	-	-	-	-	-	-	-
	Commission	-	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-	-
	Total (II)	-	-	-	-	-	-	-
	Total (B) = (I+II)	0.0525	0.0395	0.04	0.0595	0.0545	0.0545	<b>0.3005</b>
	Total Managerial Remuneration (A + B)	-	-	-	-	-	-	<b>0.9605</b>

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

₹ in crores

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1.	Gross Salary				
(a)	Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961		0.473	0.479	0.952
(b)	Value of perquisites u/s 17(2) Income Tax Act, 1961		-	-	-
(c)	Profits in lieu of salary under section 17(3) of Income Tax, 1961		-	-	-
2.	Stock Option		-	-	-
3.	Sweat Equity		-	-	-
4.	Commission		-	-	-
5.	Others, please specify		-	-	-
	<b>Total</b>		0.473	0.479	0.952

Chief Executive Officer (“CEO”), Chief Financial Officer (“CFO”), Company Secretary (“CS”). Sri Duruvasan Ramanchandra is the Managing Director and CEO of the Company. Since the remuneration paid to him is mentioned in the table A above, it is not mentioned here again.

**VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES**

Type	Section of the Companies Act	Brief Description	Details of the Penalty/ Punishment/ Compounding/ fees imposed	Authority [RD/ NCLT/ Court]	Appeal made, if any (give details)
A. Company			NIL		
Penalty					
Punishment					
Compounding					
B. Directors					
Penalty					
Punishment					
Compounding					
C. Other Officers in default					
Penalty					
Punishment					
Compounding					