

Format of Holding of Specified securities

1. Name of Listed Entity: **POLYPLEX CORPORATION LTD**
2. Scrip Code/Name of Scrip/Class of Security: **524051,POLYPLEX,EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 a. if under 31(1)(b) then indicate the report for quarter ending **31(1)(b)**
 b. if under 31(1)(c) then indicate date of allotment/extinguishment **30/09/2021**
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Sr.No	Particulars	YES/No	Promoter and Promoter Group	Public Shareholder	Non Promoter-Non Public
i)	Whether the Listed Entity has issued any partly paid up shares	No	No	No	No
ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No	No
iii)	Whether the Listed Entity has issued any Warrants?	No	No	No	No
iv)	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
v)	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
vi)	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
vii)	Whether company has equity shares with differential voting rights?	No	No	No	No
viii)	Whether the listed entity has any significant beneficial owner?	Yes			

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No of Voting Rights			Total as a % of (A+B+C)					No.	As a % of total		No.	As a % of total
								Class X	Class Y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)		
(A)	Promoter & Promoter Group	12	16001534	0	0	16001534	50.97	16001534	0	16001534	50.97	0	50.97	0	0.00	0	0.00	16001534		
(B)	Public	89234	15390928	0	0	15390928	49.03	15390928	0	15390928	49.03	0	49.03	0	0.00	NA	NA	15163676		
(C)	Non Promoter-Non Public																			
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0		
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0		
	Total:	89246	31392462	0	0	31392462	100.00	31392462	0	31392462	100.00	0	100.00	0	0.00	0	0.00	31165210		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No of Voting Rights			Total as a % of (A+B+C)					No.	As a % of total		No.	As a % of total
								Class X	Class Y	Total										
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)			
(1)	Indian																			
(a)	Individuals/Hindu undivided Family	4	259060	0	0	259060	0.83	259060	0	259060	0.83	0	0.83	0	0.00	0	0.00	259060		
	SARITA SARAF	1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	0	0.00	20		
	URMILADEVI NARAYANDAS SARAF	1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	0	0.00	20		
	NARAYANDAS DURGAPRASADJI SARAF	1	20	0	0	20	0.00	20	0	20	0.00	0	0.00	0	0.00	0	0.00	20		
	AMLA SARAF	1	259000	0	0	259000	0.83	259000	0	259000	0.83	0	0.83	0	0.00	0	0.00	259000		
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other	3	2010202	0	0	2010202	6.40	2010202	0	2010202	6.40	0	6.40	0	0.00	0	0.00	2010202		
	M/S UTKARSH TRADING & HOLDINGS LTD.	1	411278	0	0	411278	1.31	411278	0	411278	1.31	0	1.31	0	0.00	0	0.00	411278		
	BHILANGANA HYDRO POWER LIMITED	1	208000	0	0	208000	0.66	208000	0	208000	0.66	0	0.66	0	0.00	0	0.00	208000		
	SANJIV SARITA CONSULTING PRIVATE LIMITED	1	1390924	0	0	1390924	4.43	1390924	0	1390924	4.43	0	4.43	0	0.00	0	0.00	1390924		
	Sub-Total (A)(1)	7	2269262	0	0	2269262	7.23	2269262	0	2269262	7.23	0	7.23	0	0.00	0	0.00	2269262		
(2)	Foreign																			
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	3	574138	0	0	574138	1.83	574138	0	574138	1.83	0	1.83	0	0.00	0	0.00	574138		
	SANJIV CHADHA	1	4000	0	0	4000	0.01	4000	0	4000	0.01	0	0.01	0	0.00	0	0.00	4000		
	SAKHI SARAF	1	570000	0	0	570000	1.82	570000	0	570000	1.82	0	1.82	0	0.00	0	0.00	570000		
	SANJIV SARAF	1	138	0	0	138	0.00	138	0	138	0.00	0	0.00	0	0.00	0	0.00	138		
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other	2	13158134	0	0	13158134	41.91	13158134	0	13158134	41.91	0	41.91	0	0.00	0	0.00	13158134		
	MAHALAXMI TRADING & INVESTMENT CO. LTD	1	7622390	0	0	7622390	24.28	7622390	0	7622390	24.28	0	24.28	0	0.00	0	0.00	7622390		
	SECURE INVESTMENTS LIMITED	1	5535744	0	0	5535744	17.63	5535744	0	5535744	17.63	0	17.63	0	0.00	0	0.00	5535744		
	Sub-Total (A)(2)	5	13732272	0	0	13732272	43.74	13732272	0	13732272	43.74	0	43.74	0	0.00	0	0.00	13732272		
	Total Shareholding of Promoter and Promoter Group	12	16001534	0	0	16001534	50.97	16001534	0	16001534	50.97	0	50.97	0	0.00	0	0.00	16001534		

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of	No.	As a % of total	No.		As a % of total Shares
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Institutions																	
(a)	Mutual Funds	2	1186832	0	0	1186832	3.78	1186832	0	1186832	3.78	0	3.78	0	0.00	NA	NA	1186832
	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA NIFT	1	1182638	0	0	1182638	3.77	1182638	0	1182638	3.77	0	3.77	0	0.00	NA	NA	1182638
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	ALTERNATIVE INVESTMENT FUND	2	485965	0	0	485965	1.55	485965	0	485965	1.55	0	1.55	0	0.00	NA	NA	485965
	ABAKKUS EMERGING OPPORTUNITIES FUND-1	1	462965	0	0	462965	1.47	462965	0	462965	1.47	0	1.47	0	0.00	NA	NA	462965
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	82	2710944	0	0	2710944	8.64	2710944	0	2710944	8.64	0	8.64	0	0.00	NA	NA	2710944
(f)	Financial Institutions/Banks	3	1874	0	0	1874	0.01	1874	0	1874	0.01	0	0.01	0	0.00	NA	NA	74
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Sub Total (B)(1)	89	4385615	0	0	4385615	13.97	4385615	0	4385615	13.97	0	13.97	0	0.00	NA	NA	4383815
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i.Individual shareholders holding nominal share capital up to Rs.10 lakhs	86043	6079009	0	0	6079009	19.36	6079009	0	6079009	19.36	0	19.36	0	0.00	NA	NA	5863557
	ii.Individual shareholders holding nominal share capital in excess of Rs.10 lakhs	33	2279551	0	0	2279551	7.26	2279551	0	2279551	7.26	0	7.26	0	0.00	NA	NA	2279551
	Dolly Khanna	1	323778	0	0	323778	1.03	323778	0	322198	1.03	0	1.03	0	0	NA	NA	323778
(b)	NBFCs Registered with RBI	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0.00	NA	NA	1000
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	1200	738249	0	0	738249	2.35	738249	0	738249	2.35	0	2.35	0	0.00	NA	NA	731349
	RICKY ISHWARDAS KIRPALANI	1	315000	0	0	315000	1.00	315000	0	315000	1.00	0	1.00	0	0.00	NA	NA	315000
	TRUST	1	12	0	0	12	0.00	12	0	12	0.00	0	0.00	0	0.00	NA	NA	12
	CLEARING MEMBERS	102	73273	0	0	73273	0.23	73273	0	73273	0.23	0	0.23	0	0.00	NA	NA	73273
	BODIES CORPORATES	467	1227144	0	0	1227144	3.91	1227144	0	1227144	3.91	0	3.91	0	0.00	NA	NA	1224044
	DIRECTORS AND RELATIVES	3	4584	0	0	4584	0.01	4584	0	4584	0.01	0	0.01	0	0.00	NA	NA	4584
	I E P F	1	265118	0	0	265118	0.84	265118	0	265118	0.84	0	0.84	0	0.00	NA	NA	265118
	H U F	1293	332173	0	0	332173	1.06	332173	0	332173	1.06	0	1.06	0	0.00	NA	NA	332173
	Polyplex Suspense Account	1	5200	0	0	5200	0.02	5200	0	5200	0.02	0	0.02	0	0.00	NA	NA	5200
	Sub Total (B)(3)	89145	11005313	0	0	11005313	35.06	11005313	0.00	11005313	35.06	0.00	35.06	0.00	0.00			10779861
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	89234	15390928	0	0	15390928	49.03	15390928	0	15390928	49.03	0	49.03	0	0.00			15163676

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
KESWANI HARESH	Ricky Ishwardas Kirpalani along with PACs	1,33,000	0.42
RICKY ISHWARDAS KIRPALANI		3,15,000	1.00
RICKY ISHWARDAS KIRPALANI		14,000	0.04
POONAM ISHWARDAS KIRPALANI		23,439	0.07
NIKITA RICKY KIRPALANI		396	0.00
JOHNNY KIRPALANI		137	0.00
JOHNNY ISHWARDAS KIRPALANI		21,500	0.07
RUSHIL RAJAN KIRPALANI		7,023	0.02
SASCHA KIRPALANI J/W JOHNNY I KIRPALANI		1,000	0.00
TIANA KIRPALANI J/W JOHNNY ISHWARDAS KIRPALANI		500	0.00
VIJAY MANOHARDAS ASSOMULL J/W POOJA VIJAY ASSOMULL		6,823	0.02
POOJA VIJAY ASSOMULL J/W VIJAY MANOHARDAS ASSOMULL		7,395	0.02
ALISHA KIRPALANI J/w JOHNNY KIRPALANI		10,850	0.03
FIRST WATER FUND		1,45,000	0.46
FIRST WATER CAPITAL FUND		23,000	0.07
Total		7,09,063	2.26

Table IIIB: Unclaimed Details:

Details of Shares which remain unclaimed for Public

Sr. No.	No of Shareholders	outstanding shares held in demat/unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	25	5200	5200	Nil

Significant Beneficial Owners (SBO)

Sr. No.	Details of the significant beneficial owners (SBO)				Details of the registered owner				Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	SANJIV SARAF		Indian		MAHALAXMI TRADING & INVESTMENT COMPANY LIMITED		Mauritius		24.28	24.28	24.28	No	No	08-02-2019
2	SANJIV SARAF		Indian		SECURE INVESTMENTS LIMITED		Mauritius		17.63	17.63	17.63	No	No	08-02-2019
3	SANJIV SARAF		Indian		UTKARSH TRADING AND HOLDINGS LTD.		India		1.31	1.31	1.31	No	No	08-02-2019
4	SANJIV SARAF		Indian		BHILANGANA HYDRO POWER LIMITED		India		0.66	0.66	0.66	No	No	08-02-2019
5	SANJIV SARAF		Indian		SANJIV SARAF		India		0.00	0.00	0.00	No	No	08-02-2019