

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Iifl Wealth Management Limited**
 2. Quarter ending - **31-Dec-2020**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed ?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Nilesh Vikramsey	00031213	AA BP V3 68 0 Q	ID,C & NED		21-May-2013	30-Sep-2019		76	16-Aug-1964	NA		5	4	8	1	AC,RC,NRC	
Mr.	Venkataraman Raja	00011919	A D HP R6	NED		17-Jan-2008	17-Jan-2008			27-Jul-1967	NA		3	0	3	1	SC,RC	

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Mr.	Nirma l Bhan warlal Jain	000 105 35	AB RP J9 23 5G	NED		17-Jan- 2008	17- Jan- 2008			11- Dec- 1966	NA		2	0	1	0	NRC
Ms.	Geeta Math ur	021 395 52	AA KP M 73 80 D	ID		03-Mar- 2015	11- Sep- 2020	70		21- Nov- 1966	NA		7	7	10	4	AC,SC,RC,N RC
Mr.	Karan Bhaga t	032 477 53	AE BP B7 24 9B	ED	MD	27-Sep- 2010	30- Sep- 2019			25- Apr- 1977	NA		1	0	0	0	RMC
Mr.	Yatin Shah	032 310 90	AA M PS 42 25 K	NED		27-Sep- 2010	30- Sep- 2019			27-Jan- 1976	NA		1	0	1	0	SC
Mr.	Shant anu Rasto gi	067 320 21	A G DP R8 47 2J	NED, ND		25-Feb- 2016	25- Feb- 2016			26- Mar- 1979	NA		1	0	2	0	AC,RC
Mr.	Sande ep Naik	020 579 89	AF EP N6	NED, ND		25-Feb- 2016	25- Feb- 2016			29- Oct- 1972	NA		1	0	0	0	NRC

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Mr.	Subbaraman Narayan	000 940 81	AB UP N5 09 5R	ID		25-Jun-2019	25-Jun-2019		18	20-Jun-1943	Yes	30-Sep-2019	4	4	4	2		
Mr.	Pankaj Vaish	003 674 24	AA BP V3 82 5F	ID		22-Jan-2020	22-Jan-2020		11	09-Feb-1962	NA		1	1	1	0	AC	
Mr.	Gopalakrishnan Soudarajan	052 427 95	AA IP S7 02 3D	NED		22-Jan-2020	22-Jan-2020			20-May-1962	NA		2	1	1	0		

Company Remarks	(i) While calculating the Directorship under Regulation 17A we have considered Equity listed Companies only; (ii) Ms. Geeta Mathur, was appointed as an Independent Director on Mar 3, 2015 for a period of 5 yrs. Further, in the Annual General Meeting of the Company dated 11.09.2020 she is re-appointed for a second term of five years by the shareholders
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

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<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	
3	Nilesh Vikamsey	ID,C & NED	Member	18-Jun-2013	
4	Pankaj Vaish	ID	Member	22-May-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

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b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Geeta Mathur	ID	Member	14-May-2019	16-Dec-2020
2	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
3	Yatin Shah	NED	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Venkataraman Rajamani	NED	Member	14-May-2019	
2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikamsey	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	
5	Geeta Mathur	ID	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Nilesh Vikamsey	ID,C & NED	Member	30-Mar-2015	
3	Nirmal Bhanwarlal Jain	NED	Member	04-Feb-2011	
4	Sandeep Naik	NED,ND	Member	25-Feb-2016	

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Company Remarks	
Whether Permanent chairperson appointed	Yes

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CIN: L74140MH2008PLC177884

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
13-Aug-2020	28-Oct-2020	Yes	11	4
14-Aug-2020	25-Nov-2020	Yes	11	4
19-Aug-2020		Yes	11	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	69

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	13-Aug-2020		Yes	4	3
Audit Committee	14-Aug-2020		Yes	4	3
Audit Committee	19-Aug-2020		Yes	4	3
Audit Committee		27-Oct-2020	Yes	4	1
Audit Committee		28-Oct-2020	Yes	4	1
Risk Management Committee	04-Jul-2020		Yes	5	2
Risk Management Committee	15-Jul-2020		Yes	5	2
Risk Management Committee	04-Aug-2020		Yes	5	2
Risk Management	01-Sep-2020		Yes	5	2

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Committee					
Risk Management Committee	24-Sep-2020		Yes	5	2
Risk Management Committee		23-Oct-2020	Yes	5	2
Nomination & Remuneration Committee		23-Oct-2020	Yes	4	2

Company Remarks	(i) Audit Committee Meeting held on August 13, 2020 was adjourned on August 14, 2020 (ii) Audit Committee Meeting held on October 27, 2020 was adjourned on October 28, 2020
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	68

v. Related Party Transactions

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Amit Bhandari

Name : **Amit Bhandari**
Designation : **Compliance Officer**
Date : **14.01.2021**

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