

April 24, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 542772	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFLWAM
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Dear Sir/Madam,

Sub: - Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours faithfully,

For **IIFL Wealth Management Limited**

Amit Bhandari
Company Secretary & Compliance Officer
Email Id: secretarial@iiflw.com
Encl: a/a

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel, Mumbai - 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

J U POOJARI & ASSOCIATES
COMPANY SECRETARIES

Office:

111, 11th Floor, Sai – Dwar CHSL
Sab TV Lane, OppLaxmi Indl.Estate
Above Shabari Restaurant
Andheri (W), Mumbai : 400 053
Tel.: 2630 1232/ 2630 1233/ 2630 1240
Email: jupoojari@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31st MARCH, 2021

On the basis of examination of the relevant documents relating to IIFL Wealth Management Limited, (CIN L74140MH2008PLC177884), (the Company), maintained and provided by M/s. Link Intime India Pvt. Ltd., Registrar and Share Transfer Agents pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01st October, 2020 to 31st March, 2021.

1. b. As informed to us there were no requests relating to transmission/ transposition of securities, deletion of name/ sub-division (split), consolidation, renewal, exchange or endorsement of calls/ allotment monies received during the said period.

B) Any other information, if applicable: --

JAYARAM
UMESH
POOJARI

Jayaram U.POOJARI
Company Secretary
FCS: 8102 CP: 8187
UDIN: F008102C000166557

Place: Mumbai
Date: 23.04.2021