

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **IIFL Wealth Management Limited**
 2. Quarter ending - **30-Sep-2022**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company	Remarks
Mr.	Nilesh Vika	00031213	AABP	ID,C & NED		25-Aug-2014	30-Sep-2019		97	16-Aug-	No				Active	NA		7	6	8	4	AC,R C,NR C	

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Mr.	Venkataraman Rajamani	00011919	ADHPRG66333G	NED		17-Jan-2008			27-Jul-1967	No				Active	NA		3	0	3	1	SC,RC
Mr.	Nirmal Bhanwarlal Jain	00010535	ABRPJ9235G	NED		17-Jan-2008			11-Dec-1966	No				Active	NA		2	0	0	0	
Mrs.	Geeta Mathur	02139552	AAKPM7380D	ID		03-Mar-2015	11-Sep-2020	91	21-Nov-1966	No				Active	NA		7	7	9	5	AC,RC,NRC

Mr.	Karan Bhagat	03 24 77 53	A E B P B 7 2 4 9 B	ED	MD	27- Sep- 2010				25- Apr - 19 77	No				A c t i v e	NA		1	0	0	0	RMC	
Mr.	Yatin Shah	03 23 10 90	A A M P S 4 2 2 5 K	NED		27- Sep- 2010				27- Jan - 19 76	No				A c t i v e	NA		1	0	2	0	SC	
Mr.	Shantanu Rastogi	06 73 20 21	A G D P R 8 4 7 2J	NED,N D		25- Feb- 2016				26- Ma r- 19 79	No				A c t i v e	NA		2	0	4	0	AC,R C	
Mr.	Sandeep Naik	02 05 79 89	A F E P N 6 7	NED,N D		25- Feb- 2016				29- Oct - 19 72	No				A c t i v e	NA		1	0	0	0	NRC	

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Mr.	Subbaraman Narayan	00094081	A B U P N 5 0 9 5 R	ID		25-Jun-2019	25-Jun-2019		39	20-Jun-1943	No				A c t i v e	Yes	30-Sept-2019	4	4	5	3		
Mr.	Pankaj Vaih	00367424	A A B P V 3 8 2 5 F	ID		22-Jan-2020	22-Jan-2020		32	09-Feb-1962	No				A c t i v e	NA		3	3	6	0	AC,SC	
Mr.	Gopalakrishnan Soudarajan	05242795	A AI P S 7 0 2 3 D	NED		22-Jan-2020				20-May-1962	No				A c t i v e	NA		3	0	1	0		

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	
2	Nilesh Vikamsey	ID,C & NED	Member	18-Jun-2013	
3	Pankaj Vaish	ID	Member	22-May-2020	
4	Geeta Mathur	ID	Chairperson	30-Mar-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Pankaj Vaish	ID	Member	02-Feb-2021	
2	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
3	Yatin Shah	NED	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Venkataraman Rajamani	NED	Member	14-May-2019	

2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikamsey	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	
5	Geeta Mathur	ID	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Nilesh Vikamsey	ID,C & NED	Member	30-Mar-2015	
3	Sandeep Naik	NED,ND	Member	25-Feb-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent Directors present
04-May-2022	Yes	11	10	4
25-May-2022	Yes	11	9	4
25-Jul-2022	Yes	11	7	3
24-Sep-2022	Yes	11	10	4

Company Remarks	Board Meeting held on September 24, 2022 was adjourned to September 30, 2022 for certain matters. Details of attendance of Board members at the
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	adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 11 3) No. of directors present(all including independent directors)- 11 4) No. of independent directors attending the meeting - 4
Maximum gap between any two consecutive (in number of days)	60

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Total Number of Directors attended the meeting (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	03-May-2022	Yes	4	4	3	0
Audit Committee	21-Jul-2022	Yes	4	4	3	0
Audit Committee	24-Sep-2022	Yes	4	4	3	0
Nomination & Remuneration Committee	08-Apr-2022	Yes	3	3	2	0
Nomination & Remuneration Committee	25-May-2022	Yes	3	2	2	0

Risk Management Committee	08-Apr-2022	Yes	5	3	2	0
Risk Management Committee	05-Jul-2022	Yes	5	3	2	0

Company Remarks	<p>I) Audit Committee Meeting held on July 21, 2022 was adjourned to July 25, 2022 for certain matters. Details of attendance of Audit Committee members at the adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 4 3) No. of directors present (all including independent directors) - 4 4) No. of independent directors attending the meeting - 3</p> <p>II) Audit Committee Meeting held on September 24, 2022 was adjourned to September 30, 2022. Details of attendance of Audit Committee members at the adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 4 3) No. of directors present (all including independent directors) - 4 4) No. of independent directors attending the meeting - 3</p>
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	78

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and	
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Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	

Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			

<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity</i>			

<i>controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations	
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>	
Company Remarks in case of non-compliant status	

For IIFL Wealth Management Limited

Rohit Bhas
Company Secretary
(ACS – 21409)

Date: 17-10-2022
Place: Mumbai