

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Iifl Wealth Management Limited**
2. Quarter ending - **30-Jun-2020**

i. Composition Of Board Of Director

	Name of the Director	DIN	PAN	Category (Chair person /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Nilesh Vikemsay	00031213	AABPV3680Q	ID,C & NED		21-May-2013	25-Aug-2019		70	16-Aug-1964	NA		7	7	9	2	AC,RC,NRC	
Mr.	Venkataraman Rajamani	00011919	ADHPR6633G	NED		17-Jan-2008	17-Jan-2008			27-Jul-1967	NA		3	0	4	1	SC,RC	
Mr.	Nirmal Bhanwarlal Jain	00010535	ABRPJ9235G	NED		17-Jan-2008	17-Jan-2008			11-Dec-1966	NA		2	0	1	0	NRC	

Ms.	Geeta Mathur	0213 9552	AAKPM7 380D	ID		03-Mar-2015	03-Mar-2015		64	21-Nov-1966	NA		6	6	9	5	AC,SC,RC,NRC
Mr.	Karan Bhagat	0324 7753	AEBPB72 49B	ED	MD	27-Sep-2010	27-Jul-2015			25-Apr-1977	NA		1	0	0	0	RMC
Mr.	Yatin Shah	0323 1090	AAMPS4 225K	NED		27-Sep-2010	27-Jul-2015			27-Jan-1976	NA		1	0	1	0	SC
Mr.	Shantanu Rastogi	0673 2021	AGDPR8 472J	NED,ND		25-Feb-2016	25-Feb-2016			26-Mar-1979	NA		1	0	2	0	AC,RC
Mr.	Sandeep Naik	0205 7989	AFEPN67 31J	NED,ND		25-Feb-2016	25-Feb-2016			29-Oct-1972	NA		1	0	0	0	NRC
Mr.	Subbaraman Narayan	0009 4081	ABUPN5 095R	ID		25-Jun-2019	25-Jun-2019		12	20-Jun-1943	Yes	30-Sep-2019	4	4	4	2	
Mr.	Pankaj Vaish	0036 7424	AABPV38 25F	ID		22-Jan-2020	22-Jan-2020		5	09-Feb-1962	NA		1	1	1	0	AC
Mr.	Gopalakrishnan Soundarajan	0524 2795	AAIPS70 23D	NED		22-Jan-2020	22-Jan-2020			20-May-1962	NA		2	1	0	0	

Company Remarks	(i) While calculating the Directorship under Regulation 17A we have considered Equity listed Companies only; (ii)Ms. Geeta Mathur, was appointed as an Independent Director on Mar 3, 2015 for a period of 5 yrs. Further, on Jan 22, 2020 the Board has reappointed her as an Independent Director subject to shareholders approval, at the ensuing AGM.
Whether Regular chairperson appointed	Yes
Whether Chairperson is related	No

to MD or CEO	
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ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	
3	Nilesh Vikemsay	ID,C & NED	Member	18-Jun-2013	
4	Pankaj Vaish	ID	Member	22-May-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Geeta Mathur	ID	Member	14-May-2019	
2	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
3	Yatin Shah	NED	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Venkataraman Rajamani	NED	Member	14-May-2019	
2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikemsay	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	
5	Geeta Mathur	ID	Member	14-May-2019	

Company Remarks	
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Whether Permanent chairperson appointed	Yes
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d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Nilesh Vikemsay	ID,C & NED	Member	30-Mar-2015	
3	Nirmal Bhanwarlal Jain	NED	Member	04-Feb-2011	
4	Sandeep Naik	NED,ND	Member	25-Feb-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
22-Jan-2020	11-Jun-2020	Yes	11	4

Company Remarks	The Board and Committee Meetings were held through Video Conferencing keeping in mind the guidnace note issued by SEBI and MCA.
Maximum gap between any two consecutive (in number of days)	140

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	22-Jan-2020		Yes	3	2
Audit Committee		10-Jun-2020	Yes	4	3

Audit Committee		11-Jun-2020	Yes	4	3
Nomination & Remuneration Committee	22-Jan-2020		Yes	3	2
Nomination & Remuneration Committee		10-Jun-2020	Yes	4	2
Risk Management Committee		10-Jun-2020	Yes	5	2
Stakeholders Relationship Committee	23-Mar-2020		Yes	3	1

Company Remarks	The Board and Committee Meetings were held through Video Conferencing keeping in mind the guidance note issued by SEBI and MCA.
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	139

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>	<i>Remark</i>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **Ashutosh Naik**
Designation : **Company Secretary**



Signature

Date : July 14, 2020

Place : Mumbai