

April 30, 2020

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 542772	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFLWAM
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Dear Sir/Madam,

Sub: - Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020.

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours faithfully,

For **IIFL Wealth Management Limited**

Ashutosh Naik
Company Secretary & Compliance Officer
Email Id: secretarial@iiflw.com

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel, Mumbai - 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

(An IIFL Group Company)

www.iiflwealth.com

CIN: U74140MH2008PLC177884

J U POOJARI & ASSOCIATES
COMPANY SECRETARIES

Office:

111, 11th Floor, Sai – Dwar CHSL
Sab TV Lane, OppLaxmi Indl.Estate
Above Shabari Restaurant
Andheri (W), Mumbai : 400 053
Tel.: 2630 1232/ 2630 1233/ 2630 1240
Email: jupoojari& rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31st MARCH, 2020

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to IIFL Wealth Management Limited (the Company) maintained by M/s. Link Intime India Pvt. Ltd., Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 01st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Wealth Management Limited and based on the information provided by the Company and the Registrar, I hereby certify that the company has not received any request relating to transfers, transmission, transposition, name deletion, sub-division (split), consolidation, replacement & issue of duplicate share certificate and exchange of certificate, endorsement for calls/ allotment money during the half year ended on 31st March, 2020.

Jayaram U.POOJARI
Company Secretary
FCS: 8102 CP: 8187

UDIN: F008102B000182595

Place: Mumbai
Date: 28.04,2020