BSE LTD

ACKNOWLEDGEMENT

Acknowledgement No	:	2110201902004331	Date & Time :	21/10/2019 02:00:43 PM
Scrip Code	:	532498		
Entity Name	:	Shriram City Union Finance Limited		
Compliance Type	:	Regulation 31 - Shareholding pattern		
Quarter / Period	:	30/09/2019		
Mode	:	Revised E-Filing		

General information about company	
Scrip code	532498
NSE Symbol	SHRIRAMCIT
MSEI Symbol	
ISIN	
Name of the company	Shriram City Union Finance Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	22371594			22371594	33.9	22371594		22371594	33.9
(B)	Public	8236	43624428			43624428	66.1	43624428		43624428	66.1
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8237	65996022			65996022	100	65996022		65996022	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				33.9					22371594
(B)	Public				66.1					43490974
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					65862568

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	22371594			22371594	33.9	22371594		22371594	33.9
Sub-Total (A) (1)		1	22371594			22371594	33.9	22371594		22371594	33.9
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	22371594			22371594	33.9	22371594		22371594	33.9
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	7	3443139			3443139	5.22	3443139		3443139	5.22
(c)	Alternate Investment Funds	2	437517			437517	0.66	437517		437517	0.66
(e)	Foreign Portfolio Investors	82	17220654			17220654	26.09	17220654		17220654	26.09
(f)	Financial Institutions/ Banks	4	48042			48042	0.07	48042		48042	0.07
(g)	Insurance Companies	2	14620			14620	0.02	14620		14620	0.02
Sub-Total (B) (1)		97	21163972			21163972	32.07	21163972		21163972	32.07
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7726	1051575			1051575	1.59	1051575		1051575	1.59
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	336096			336096	0.51	336096		336096	0.51
(b)	NBFCs registered with RBI	2	13450			13450	0.02	13450		13450	0.02
(e)	Any Other (specify)	406	21059335			21059335	31.91	21059335		21059335	31.91
Sub-Total (B) (3)		8139	22460456			22460456	34.03	22460456		22460456	34.03
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)		8236	43624428			43624428	66.1	43624428		43624428	66.1
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8237	65996022			65996022	100	65996022		65996022	100
Total (A+B+C)		8237	65996022			65996022	100	65996022		65996022	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				33.9					22371594
Sub-Total (A) (1)				33.9					22371594
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				33.9					22371594
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				5.22					3443139
(c)				0.66					437517
(e)				26.09					17220654
(f)				0.07					48042
(g)				0.02					14620
Sub-Total (B) (1)				32.07					21163972
(3)	Non-institutions								
(a(i))				1.59					920071
(a(ii))				0.51					336096
(b)				0.02					13450
(e)				31.91					21057385
Sub-Total (B) (3)				34.03					22327002
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				66.1					43490974
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					65862568
Total (A+B+C)				100					65862568

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Shriram Capital Limited	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	22371594	22371594
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	22371594	22371594
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	33.9	33.9
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	22371594	22371594
Class eg:y		
Total	22371594	22371594
Total as a % of Total Voting rights	33.9	33.9
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	33.9	33.9
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	22371594	22371594
Reason for not providing PAN		
Reason for not		

providing PAN		
Shareholder type		

Mutual Funds			
Searial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED (Under different sub accounts)	SBI MUTUAL FUND (Under different sub accounts)	Click here to go back
PAN (II)	AAATB0102C	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	1361242	972398	2333640
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1361242	972398	2333640
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.06	1.47	3.54
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1361242	972398	2333640
Class eg:y			
Total	1361242	972398	2333640
Total as a % of Total Voting rights	2.06	1.47	3.54
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.06	1.47	3.54
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1361242	972398	2333640
Reason for not providing PAN			
Reason for not providing PAN			

Foreign Portfolio Investors							
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	WF ASIAN SMALLER COMPANIES FUND LIMITED	WF ASIAN RECONNAISSANCE FUND LIMITED	MATTHEWS INDIA FUND	EAST BRIDGE CAPITAL MASTER FUND LIMITED	BANK MUSCAT INDIA FUND	EAST BRIDGE CAPITAL MASTER FUND I LTD	CORNALINA ACQUISITION (FII) LIMITED
PAN (II)	AAACW5648R	AABCW5771E	AABTM6157F	AACCE9888M	AADCB4040P	AAECE8814F	AAFCC5872Q
No. of fully paid up equity shares held (IV)	1516817	1601597	1630027	2562364	844893	1641228	1223810
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1516817	1601597	1630027	2562364	844893	1641228	1223810
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.3	2.43	2.47	3.88	1.28	2.49	1.85
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1516817	1601597	1630027	2562364	844893	1641228	1223810
Class eg:y							
Total	1516817	1601597	1630027	2562364	844893	1641228	1223810
Total as a % of Total Voting rights	2.3	2.43	2.47	3.88	1.28	2.49	1.85
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.3	2.43	2.47	3.88	1.28	2.49	1.85
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	1516817	1601597	1630027	2562364	844893	1641228	1223810

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors			
Searial No.	8	9	
Name of the Shareholders (I)	ACACIA PARTNERS, LP	ACACIA INSTITUTIONAL PARTNERS, LP	Click here to go back
PAN (II)	AALFA7272K	AALFA7287Q	Total
No. of fully paid up equity shares held (IV)	1000000	661100	12681836
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000000	661100	12681836
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	1	19.22
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1000000	661100	12681836
Class eg:y			
Total	1000000	661100	12681836
Total as a % of Total Voting rights	1.52	1	19.22
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	1	19.22
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1000000	661100	12681836
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	Bodies Corporate	Foreign Portfolio Investor (Category - III)	IEPF	LLP	Non-Resident Indian (NRI)
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)	PIRAMAL ENTERPRISES LIMITED						
PAN (II)	AAACN4538P						
No. of the Shareholders (I)	1	20	157	6	1	9	207
No. of fully paid up equity shares held (IV)	6579840	11356	6725657	428560	54970	20099	74504
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6579840	11356	6725657	428560	54970	20099	74504
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.97	0.02	10.19	0.65	0.08	0.03	0.11
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6579840	11356	6725657	428560	54970	20099	74504
Class eg:y							
Total	6579840	11356	6725657	428560	54970	20099	74504
Total as a % of Total Voting rights	9.97	0.02	10.19	0.65	0.08	0.03	0.11
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.97	0.02	10.19	0.65	0.08	0.03	0.11
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6579840	11356	6723707	428560	54970	20099	74504
Reason for not providing PAN							
Reason for not							

providing PAN							

Any Other (specify)						
Searial No.	8	9	10	11	12	13
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Trusts	Unclaimed or Suspense or Escrow Account	Others	Others
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	Category	Category
Name of the Shareholders (I)	DYNASTY ACQUISITION (FPI) LTD				FOREIGN INSTITUTIONAL INVESTORS	ASSOCIATION OF PERSONS
PAN (II)	AAFCD1091G					
No. of the Shareholders (I)	1	1	1	1	2	1
No. of fully paid up equity shares held (IV)	13421889	13421889	45	500	321725	30
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13421889	13421889	45	500	321725	30
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.34	20.34	0	0	0.49	0
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	13421889	13421889	45	500	321725	30
Class eg:y						
Total	13421889	13421889	45	500	321725	30
Total as a % of Total Voting rights	20.34	20.34	0	0	0.49	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.34	20.34	0	0	0.49	0
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	13421889	13421889	45	500	321725	30
Reason for not providing PAN						
Reason for not						

providing PAN						

Any Other (specify)	
Searial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	406
No. of fully paid up equity shares held (IV)	21059335
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	21059335
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	31.91
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	21059335
Class eg:y	
Total	21059335
Total as a % of Total Voting rights	31.91
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	31.91
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	21057385
Reason for not providing PAN	
Reason for not providing PAN	

Details of Shares which remain unclaimed for Public				
Searial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	9	500		

significant beneficial owners

s of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SI the reporting company, whether direct or ind				
										Whether by virtue of:				
	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Ex of sig inf
w		627228748	Any other	BRITISH	Dynasty Acquisition (FPI) Ltd	ZZZZZ9999Z	627228748	Mauritius		0	0	0	No	No
									Total:	**0**	**0**	**0**		
m l ed is a ment any ment e ted serve of and h ion anies icant icial rs) a ded, t able the of ing any ld by The of s oned s a of ant n	AABCS2726B		India		Shriram Capital Limited	AABCS2726B		India		33.9			Yes	Ye
									Total:	**33.9**	**0**	**0**		