

Name of Listed Entity:

JM FINANCIAL LIMITED

Scrip Symbol: **JMFINANCIL**

Name of Scrip : JM FINANCIAL LIMITED Class of Security : **Equity**

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : **30.09.2021**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes	No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
3	Whether the Listed Entity has issued any Warrants?		No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?		No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
7	Whether the company has equity shares with differential voting rights?		No	No	No	No
8	Whether the company has any significant beneficial owner?	Yes				

Format of holding of specified securities

Name of Listed Entity:

JM FINANCIAL LIMITED

Scrip Code : 523405

Name of Scrip : JM FINANCIAL LIMITED Class of Security : **Equity**

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : 30.09.2021

If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes	No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1 Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2 Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
3 Whether the Listed Entity has issued any Warrants?		No	No	No	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
5 Whether the Listed Entity has any shares in locked-in?		No	No	No	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
7 Whether the company has equity shares with differential voting rights?		No	No	No	No
8 Whether the company has any significant beneficial owner?	Yes				

Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	No of shareholders (III)\$	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group*	12	52,26,58,258	-	-	52,26,58,258	54.81	52,26,58,258	-	52,26,58,258	54.81	-	-	-	-	-	52,26,58,258	
(B)	Public	92,679	43,09,10,491	-	-	43,09,10,491	45.19	43,09,10,491	-	43,09,10,491	45.19	-	-	NA	NA	-	42,87,62,899	
(C)	Non Promoter- Non Public							NA										
	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	Shares held by Employee Trusts	0		0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	Total	92,691	95,35,68,749	-	-	95,35,68,749	100.00	95,35,68,749	-	95,35,68,749	100.00	0	0	0	0	0	95,14,21,157	

\$ Number of Shareholders are consolidated on the basis of PAN.

@ As of September 30, 2021 based on the benpos received from Depositories.

* Includes person(s) having control over the Company and person(s) acting in concert. Shareholding of the Promoter/Promoter Group as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

Table II - Statement showing shareholding pattern of the promoter and promoter group

(1)	Category & Name of the shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	No of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)**		Number of equity shares held in dematerialized form (XIV)@	
										No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
										Class eg: X	Class eg: Y	Total								
(1) Indian																				
(a) Individuals/H.U.F				5	18,02,51,250	-	-	18,02,51,250	18.90	18,02,51,250	NA	18,02,51,250	18.90	NA	18.90	-	-	-	-	18,02,51,250
1	Nimesh Kampani [∞]	Promoter	AAHPK2701P		12,45,00,000	-	-	12,45,00,000	13.06	12,45,00,000		12,45,00,000	13.06	NA	13.06					12,45,00,000
2	Aruna Kampani#	Promoter Group	AAPPK2879N		3,43,51,250	-	-	3,43,51,250	3.60	3,43,51,250		3,43,51,250	3.60	NA	3.60					3,43,51,250
3	Amishi Akash Gambhir#^	Promoter Group	ANLPK3297K		80,00,000	-	-	80,00,000	0.84	80,00,000		80,00,000	0.84	NA	0.84					80,00,000
4	Vishal Kampani#	Promoter Group	AABPK5329F		1,21,50,000	-	-	1,21,50,000	1.27	1,21,50,000		1,21,50,000	1.27	NA	1.27					1,21,50,000
5	Nimesh Kampani HUF	Promoter Group	AAAHN3025Q		12,50,000	-	-	12,50,000	0.13	12,50,000		12,50,000	0.13	NA	0.13					12,50,000
(b)	Central/State Government(s)		NA	0	-	-	-	-	-	NA		-	NA	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks		NA	0	-	-	-	-	-	NA		-	NA	-	-	-	-	-	-	-
(d)	Any Other (Specify)																			
a) Bodies Corporate				7	34,24,07,008	-	-	34,24,07,008	35.91	34,24,07,008	NA	34,24,07,008	35.91	NA	35.91	-	-	-	-	34,24,07,008
1	J. M. Financial And Investment Consultancy Services Private Limited	Promoter	AAACJ1237H		21,70,34,100	-	-	21,70,34,100	22.76	21,70,34,100		21,70,34,100	22.76	NA	22.76					21,70,34,100
2	J. M. Assets Management Private Limited	Promoter Group	AAACJ1238J		10,30,42,908	-	-	10,30,42,908	10.81	10,30,42,908		10,30,42,908	10.81	NA	10.81					10,30,42,908
3	JSB Securities Limited	Promoter Group	AAACJ1402A		65,05,000	-	-	65,05,000	0.68	65,05,000		65,05,000	0.68	NA	0.68					65,05,000
4	SNK Investments Private Limited	Promoter Group	AAECS8123R		1,17,60,000	-	-	1,17,60,000	1.23	1,17,60,000		1,17,60,000	1.23	NA	1.23					1,17,60,000
5	Persepolis Investment Company Private Limited	Promoter Group	AAACP2510P		22,50,000	-	-	22,50,000	0.24	22,50,000		22,50,000	0.24	NA	0.24					22,50,000
6	Kampani Consultants Limited	Promoter Group	AAACK4250F		6,85,000	-	-	6,85,000	0.07	6,85,000		6,85,000	0.07	NA	0.07					6,85,000
7	JM Financial Trustee Company Private Limited	Promoter Group	AAACJ2579E		11,30,000	-	-	11,30,000	0.12	11,30,000		11,30,000	0.12	NA	0.12					11,30,000
	Sub- Total (A)(1)			12	52,26,58,258	-	-	52,26,58,258	54.81	52,26,58,258	NA	52,26,58,258	54.81	NA	54.81	-	-	-	-	52,26,58,258
	Foreign		NA	0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(2)	Individuals (Non-Resident Individuals/ Foreign Individuals)			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(a)	Government			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(b)	Institutions			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(c)	Foreign Portfolio Investor			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(d)	Any Other (Specify)			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
(e)	Sub- Total (A)(2)			0	-	-	-	-	-	NA	NA	NA	NA	NA	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			12	52,26,58,258	-	-	52,26,58,258	54.81	52,26,58,258	NA	52,26,58,258	54.81	NA	54.81	-	-	-	-	52,26,58,258

Relative of a person who is in control of the company

[∞] Person who is in control of the Company

^@ As of September 30, 2021 based on the benpos received from Depositories.

(**) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

\$ Shareholding of the Promoter/Promoter Group as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

Mr. Nimesh Kampani (including Nimesh Kampani HUF), alone and together with his relatives viz. Ms. Aruna Kampani, Mr. Vishal Kampani and Ms. Amishi Gambhir hold 18.90% directly and 35.91% indirectly, with the above individuals, through various body corporate(s)

Table III - Statement showing shareholding pattern of the public shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class eg: X	Class eg: Y	Total								
(1) Institutions																		
(a) Mutual Fund/UTI		10	9,75,10,104	-	-	9,75,10,104	10.23	9,75,10,104	-	9,75,10,104	10.23	-	-	-	-	NA	9,75,10,104	
ICICI PRUDENTIAL MULTICAP FUND	AAAAI0038F	2	6,21,12,867	-	-	6,21,12,867	6.51	6,21,12,867	-	6,21,12,867	6.51	-	-	-	-	NA	6,21,12,867	
NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA GROWTH FUND	AAATR0090B	1	1,83,78,718	-	-	1,83,78,718	1.93	1,83,78,718	-	1,83,78,718	1.93	-	-	-	-	NA	1,83,78,718	
(b) Venture Capital Funds		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
(c) Alternate Investment Funds		3	15,58,415	-	-	15,58,415	0.16	15,58,415	NA	15,58,415	0.16	-	-	-	-	NA	15,58,415	
(d) Foreign Venture Capital Investors		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
(e) Foreign Portfolio Investors		115	20,72,92,926	-	-	20,72,92,926	21.74	20,72,92,926	-	20,72,92,926	21.74	-	-	-	-	NA	20,72,92,926	
WELLS FARGO EMERGING MARKETS EQUITY FUND	AAATE2686E	1	1,15,71,429	-	-	1,15,71,429	1.21	1,15,71,429	-	1,15,71,429	1.21	-	-	-	-	NA	1,15,71,429	
VALIANT MAURITIUS PARTNERS LIMITED	AACCV8506B	1	1,35,18,581	-	-	1,35,18,581	1.42	1,35,18,581	-	1,35,18,581	1.42	-	-	-	-	NA	1,35,18,581	
VALIANT MAURITIUS PARTNERS OFFSHORE LIMITED	AACCV8509Q	1	3,04,61,812	-	-	3,04,61,812	3.19	3,04,61,812	-	3,04,61,812	3.19	-	-	-	-	NA	3,04,61,812	
BARON EMERGING MARKETS FUND	AAECB4051F	1	4,58,90,161	-	-	4,58,90,161	4.81	4,58,90,161	-	4,58,90,161	4.81	-	-	-	-	NA	4,58,90,161	
TIMF HOLDINGS	AAFCT0480M	1	1,61,09,125	-	-	1,61,09,125	1.69	1,61,09,125	-	1,61,09,125	1.69	-	-	-	-	NA	1,61,09,125	
ELEVATION CAPITAL VI FII HOLDINGS LIMITED	AAZCS6367E	1	1,51,21,775	-	-	1,51,21,775	1.59	1,51,21,775	-	1,51,21,775	1.59	-	-	-	-	NA	1,51,21,775	
(f) Financial Institutions/Banks		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
(g) Insurance Companies		1	5,86,327	-	-	5,86,327	0.06	5,86,327	NA	5,86,327	0.06	-	-	-	-	NA	5,86,327	
(h) Provident Funds/Pension Funds		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
Sub- Total (B)(1)		129	30,69,47,772	-	-	30,69,47,772	32.19	30,69,47,772	NA	30,69,47,772	32.19	-	-	-	-	NA	30,69,47,772	
(2) Central Government/State Government(s)/President of India		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
Sub- Total (B)(2)		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
(3) Non- Institutions																		
(a) Individuals -																		
i. Individual shareholders holding nominal share capital up to Rs.2 lakhs.		90711	6,25,82,876	-	-	6,25,82,876	6.56	6,25,82,876	-	6,25,82,876	6.56	-	-	-	-	NA	6,04,68,784	
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		40	3,51,14,764	-	-	3,51,14,764	3.68	3,51,14,764	-	3,51,14,764	3.68	-	-	-	-	NA	3,51,14,764	
(b) NBFCs registered with RBI		2	6,627	-	-	6,627	0.00	6,627	NA	6,627	0.00	-	-	-	-	NA	6,627	
(c) Employee Trust		0	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	
(d) Overseas Depositories (holding DRs) (balancing figure)		0	-	-	-	-	-	-	NA	-	-	-	-	-	-	NA	-	
(e) Any Other (Specify)		1,797	2,62,58,452	-	-	2,62,58,452	2.75	2,62,58,452	NA	2,62,58,452	2.75	-	-	-	-	NA	2,62,24,952	
i) Bodies Corporate		510	1,01,38,644	-	-	1,01,38,644	1.06	1,01,38,644	-	1,01,38,644	1.06	-	-	-	-	NA	1,01,15,144	
ii) Clearing Member		79	7,21,361	-	-	7,21,361	0.08	7,21,361	-	7,21,361	0.08	-	-	-	-	NA	7,21,361	
iii) Non Resident Indians		809	1,31,01,745	-	-	1,31,01,745	1.37	1,31,01,745	-	1,31,01,745	1.37	-	-	-	-	NA	1,30,91,745	
Vikram Shankar Pandit	BMBPP6041G	1	1,16,46,939	-	-	1,16,46,939	1.22	1,16,46,939	NA	1,16,46,939	1.22	-	-	-	-	NA	1,16,46,939	
iv) Non Resident Indian Non Repatriable		391	8,32,398	-	-	8,32,398	0.09	8,32,398	-	8,32,398	0.09	-	-	-	-	NA	8,32,398	
v) Trust		6	16,346	-	-	16,346	0.00	16,346	-	16,346	0.00	-	-	-	-	NA	16,346	
vi) Any Other (specify)-IEPF		1	14,47,915	-	-	14,47,915	0.15	14,47,915	-	14,47,915	0.15	-	-	-	-	NA	14,47,915	
vii) Foreign Nationals		1	43	-	-	43	0.00	43	NA	43	0.00	-	-	-	-	NA	43	
Sub- Total (B)(3)		92,550	12,39,62,719	-	-	12,39,62,719	13.00	12,39,62,719	NA	12,39,62,719	13.00	-	-	-	-	NA	12,18,15,127	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		92,679	43,09,10,491	-	-	43,09,10,491	45.19	43,09,10,491	NA	43,09,10,491	45.19	-	-	-	-	NA	42,87,62,899	

\$ Shareholding of the public shareholders as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

@ As of September 30, 2021 based on the benpos received from Depositories.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@
								No of Voting Rights			Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y	Total								
(1) Custodian/DR Holder	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a) Name of DR Holder (If available)																		
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

\$ Shareholding of the non promoter non public shareholder as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.
 @ As of June 30, 2021 based on the benpos received from Depositories.

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company.					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Shares	Voting rights	Whether by virtue of:			
													Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Nimesh N Kampani	AAHPK2701P		India		J. M. Financial And Investment Consultancy Services Private Limited	AAACJ1237H		India		22.76	22.76		Yes	Yes	26-03-2002
2	Nimesh N Kampani	AAHPK2701P		India		J M Assets Management Private Limited	AAACJ1238J		India		10.81	10.81		Yes	Yes	01-07-1991
3	Nimesh N Kampani	AAHPK2701P		India		JSB Securities Limited	AAACJ1402A		India		0.68	0.68		Yes	Yes	01-07-1991
4	Nimesh N Kampani	AAHPK2701P		India		SNK Investments Private Limited	AAECS8123R		India		1.23	1.23		Yes	Yes	01-07-1991
5	Nimesh N Kampani	AAHPK2701P		India		Persepolis Investment Company Private Limited	AAACP2510P		India		0.24	0.24		Yes	Yes	29-11-2010
6	Nimesh N Kampani	AAHPK2701P		India		Kampani Consultants Limited	AAACK4250F		India		0.07	0.07		Yes	Yes	01-07-1991
7	Nimesh N Kampani	AAHPK2701P		India		JM Financial Trustee Company Private Limited	AAACJ2579E		India		0.12	0.12		Yes	Yes	07-03-2018