

Name of Listed Entity:

JM FINANCIAL LIMITED

Scrip Symbol: **JMFINANCIL**

Name of Scrip : JM FINANCIAL LIMITED Class of Security

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : **30.09.2020**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes	No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
3	Whether the Listed Entity has issued any Warrants?		No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?		No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
7	Whether the company has equity shares with differential voting rights?		No	No	No	No
8	Whether the company has any significant beneficial owner?	Yes				

Format of holding of specified securities

Name of Listed Entity:

JM FINANCIAL LIMITED

Scrip Code : 523405

Name of Scrip : JM FINANCIAL LIMITED Class of Security : **Equity**

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : **30.09.2020**

If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes	No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1 Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2 Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
3 Whether the Listed Entity has issued any Warrants?		No	No	No	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
5 Whether the Listed Entity has any shares in locked-in?		No	No	No	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
7 Whether the company has equity shares with differential voting rights?		No	No	No	No
8 Whether the company has any significant beneficial owner?	Yes				

Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	No of shareholders (III)\$	No of fully paid up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group*	12	5220,08,258	-	-	5220,08,258.00	54.82	5220,08,258	-	5220,08,258.00	54.82	-	-	-	-	-	5220,08,258	
(B)	Public	61,998	4302,14,984	-	-	4302,14,984.00	45.18	4302,14,984	-	4302,14,984.00	45.18	-	-	NA	NA	-	4278,99,342	
(C)	Non Promoter- Non Public	NA																
	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0	0	
	Total	62,010	9522,23,242	-	-	9522,23,242	100.00	9522,23,242	-	9522,23,242	100.00	0	0	0	0	0	9499,07,600	

\$ Number of Shareholders are consolidated on the basis of PAN.

@ As of September 30, 2020 based on the benpos received from Depositories.

* Includes person(s) having control over the Company and person(s) acting in concert. Shareholding of the Promoter/Promoter Group as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

Table II - Statement showing shareholding pattern of the promoter and promoter group

Category & Name of the shareholders (I)	PAN (II)	No of share holder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)**		Number of equity shares held in dematerialized form (XIV)@	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(I) Indian																		
(a) Individuals/H.U.F		5	1807,01,250	-	-	1807,01,250	18.98	1807,01,250	NA	1807,01,250	18.98	NA		-	-	-	-	1807,01,250
1 Nimesh Kampani [∞]	AAHPK2701P		1245,00,000	-	-	1245,00,000	13.07	1245,00,000		1245,00,000	13.07							1245,00,000
2 Aruna Kampani#	AAPPK2879N		354,51,250	-	-	354,51,250	3.72	354,51,250		354,51,250	3.72							354,51,250
3 Amishi Akash Gambhir#^	ANLPK3297K		80,00,000	-	-	80,00,000	0.84	80,00,000		80,00,000	0.84							80,00,000
4 Vishal Kampani#	AABPK5329F		115,00,000	-	-	115,00,000	1.21	115,00,000		115,00,000	1.21							115,00,000
5 Nimesh Kampani HUF	AAAHN3025Q		12,50,000	-	-	12,50,000	0.13	12,50,000		12,50,000	0.13							12,50,000
(b) Cental/State Government(s)	NA	0	-	-	-	-	-	-	NA	-	-	NA		-	-	-	-	-
(c) Financial Institutions/Banks	NA	0	-	-	-	-	-	-	NA	-	-	NA		-	-	-	-	-
(d) Any Other (Specify)																		
a) Bodies Corporate		7	3413,07,008	-	-	3413,07,008	35.84	3413,07,008	NA	3413,07,008	35.84	NA		-	-	-	-	3413,07,008
1 J. M. Financial And Investment Consultancy Services Private Limited	AAACJ1237H		2165,34,100	-	-	2165,34,100	22.74	2165,34,100		2165,34,100	22.74							2165,34,100
2 J. M. Assets Management Private Limited	AAACJ1238J		1030,42,908	-	-	1030,42,908	10.82	1030,42,908		1030,42,908	10.82							1030,42,908
3 JSB Securities Limited	AAACJ1402A		65,05,000	-	-	65,05,000	0.68	65,05,000		65,05,000	0.68							65,05,000
4 SNK Investments Private Limited	AAECS8123R		117,60,000	-	-	117,60,000	1.24	117,60,000		117,60,000	1.24							117,60,000
5 Persepolis Investment Company Private Limited	AAACP2510P		16,50,000	-	-	16,50,000	0.17	16,50,000		16,50,000	0.17							16,50,000
6 Kampani Consultants Limited	AAACK4250F		6,85,000	-	-	6,85,000	0.07	6,85,000		6,85,000	0.07							6,85,000
7 JM Financial Trustee Company Private Limited	AAACJ2579E		11,30,000	-	-	11,30,000	0.12	11,30,000		11,30,000	0.12							11,30,000
Sub- Total (A)(1)		12	5220,08,258	-	-	5220,08,258	54.82	5220,08,258	NA	5220,08,258	54.82	NA		-	-	-	-	5220,08,258
Foreign	NA	0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
Individuals (Non-Resident Individuals/ Foreign																		
(2) Individuals		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
(a) Government		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
(b) Institutions		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
(c) Foreign Portfolio Investor		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
(d) Any Other (Specify)		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
(e) Sub- Total (A)(2)		0	-	-	-	-	-	-	NA	NA	NA	NA		-	-	-	-	-
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	5220,08,258	-	-	5220,08,258	54.82	5220,08,258	NA	5220,08,258	54.82	NA		-	-	-	-	5220,08,258

Relative of a person who is in control of the company

[∞] Person who is in control of the Company

^@ As of September 30, 2020 based on the benpos received from Depositories.

(**) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

\$ Shareholding of the Promoter/Promoter Group as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

Mr. Nimesh Kampani (including Nimesh Kampani HUF), alone and together with his relatives viz. Ms. Aruna Kampani, Mr. Vishal Kampani and Ms. Amishi Gambhir hold 18.98% directly and 35.84% indirectly, with the above individuals, through various body corporate(s)

Table III - Statement showing shareholding pattern of the public shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class eg: X	Class eg: Y	Total								
(1) Institutions																			
(a) Mutual Fund/UTI			11	675,23,897	-	-	675,23,897	7.09	675,23,897	-	675,23,897	7.09	-	7.09	-	-	NA		675,23,897
	ICICI PRUDENTIAL MIDCAP 150 ETF	AAAAI0038F	2	320,49,516	-	-	320,49,516	3.37	320,49,516	-	320,49,516	3.37	-	3.37	-	-	NA		320,49,516
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TRUSTEE CO LTD-A/C NIPPON INDIA ETF NIFTY MIDCAP	AAATB0102C	2	115,72,014	-	-	115,72,014	1.22	115,72,014	-	115,72,014	1.22	-	1.22	-	-	NA		115,72,014
	RELIANCE CAPITAL TRUSTEE CO LTD-A/C NIPPON INDIA ETF NIFTY MIDCAP	AAATR0090B	2	125,64,860	-	-	125,64,860	1.32	125,64,860	-	125,64,860	1.32	-	1.32	-	-	NA		125,64,860
(b) Venture Capital Funds			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
(c) Alternate Investment Funds			1	9,18,677	-	-	9,18,677	0.10	9,18,677	NA	9,18,677	0.10	NA	0.10	-	-	NA		9,18,677
(d) Foreign Venture Capital Investors			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
(e) Foreign Portfolio Investors			134	2585,09,072	-	-	2585,09,072	27.15	2585,09,072	-	2585,09,072	27.15	-	27.15	-	-	NA		2585,09,072
	WELLS FARGO EMERGING MARKETS EQUITY FUND	AAATE2686E	1	115,71,429	-	-	115,71,429	1.22	115,71,429	-	115,71,429	1.22	-	1.22	-	-	NA		115,71,429
	FIDELITY INVESTMENT TRUST FIDELITY SERIES EMERGINGMARKETS OPPORTUNITIES FUND	AAATF2631A	1	293,88,586	-	-	293,88,586	3.09	293,88,586	-	293,88,586	3.09	-	3.09	-	-	NA		293,88,586
	THE WELLINGTON TRUST COMPANY NATIONAL ASSOCIATION	AAATW1456C	1	134,01,154	-	-	134,01,154	1.41	134,01,154	-	134,01,154	1.41	-	1.41	-	-	NA		134,01,154
	VALIANT MAURITIUS PARTNERS LIMITED	AACCV8506B	1	178,79,190	-	-	178,79,190	1.88	178,79,190	-	178,79,190	1.88	-	1.88	-	-	NA		178,79,190
	VALIANT MAURITIUS PARTNERS OFFSHORE LIMITED	AACCV8509Q	1	350,56,303	-	-	350,56,303	3.68	350,56,303	-	350,56,303	3.68	-	3.68	-	-	NA		350,56,303
	BARON EMERGING MARKETS FUND	AAECB4051F	1	353,13,978	-	-	353,13,978	3.71	353,13,978	-	353,13,978	3.71	-	3.71	-	-	NA		353,13,978
	TIMF HOLDINGS	AAFCT0480M	1	161,09,125	-	-	161,09,125	1.69	161,09,125	-	161,09,125	1.69	-	1.69	-	-	NA		161,09,125
	BNP PARIBAS ARBITRAGE	AAGFB5324G	1	100,72,119	-	-	100,72,119	1.06	100,72,119	-	100,72,119	1.06	-	1.06	-	-	NA		100,72,119
	SAIF INDIA VI FII HOLDINGS LIMITED	AAZCS6367E	1	151,21,775	-	-	151,21,775	1.59	151,21,775	-	151,21,775	1.59	-	1.59	-	-	NA		151,21,775
(f) Financial Institutions/Banks			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
(g) Insurance Companies			1	3,86,190	-	-	3,86,190	0.04	3,86,190	NA	3,86,190	0.04	NA	0.04	-	-	NA		3,86,190
	Aditya Birla Sun Life Insurance Company Limited	AABCB4623J	1	3,86,190	-	-	3,86,190	0.04	3,86,190	NA	3,86,190	0.04	NA	0.04	-	-	NA		3,86,190
(h) Provident Funds/Pension Funds			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
Sub- Total (B)(1)			147	3273,37,836	-	-	3273,37,836	34.38	3273,37,836	NA	3273,37,836	34.38	NA	34.38	-	-	NA		3273,37,836
(2) Central Government/State Government(s)/President of India			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
Sub- Total (B)(2)			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
(3) Non- Institutions																			
(a) Individuals -																			
	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs.		60,284	449,57,599	-	-	449,57,599	5	449,57,599	-	449,57,599	5	-	5	-	-	NA		426,75,457
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		40	345,75,706	-	-	345,75,706	4	345,75,706	-	345,75,706	4	-	4	-	-	NA		345,75,706
(b) NBFCs registered with RBI			4	1,01,114	-	-	1,01,114	0.01	1,01,114	NA	1,01,114	0.01	NA	0.01	-	-	NA		1,01,114
(c) Employee Trust			0	-	-	-	-	-	-	-	-	-	-	-	-	-	NA		-
(d) Overseas Depositories (holding DRs) (balancing figure)			0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	NA		-
(e) Any Other (Specify)			1,523	232,42,729	-	-	232,42,729	2.44	232,42,729	NA	232,42,729	2.44	NA	2.44	-	-	NA		232,09,229
i) Bodies Corporate			388	76,47,543	-	-	76,47,543	0.80	76,47,543	-	76,47,543	0.80	-	0.80	-	-	NA		76,24,043
ii) Clearing Member			100	4,79,774	-	-	4,79,774	0.05	4,79,774	-	4,79,774	0.05	-	0.05	-	-	NA		4,79,774
iii) Non Resident Indians			685	129,73,597	-	-	129,73,597	1.36	129,73,597	-	129,73,597	1.36	-	1.36	-	-	NA		129,63,597
	Vikram Shankar Pandit	BMBPP6041G		116,46,939	-	-	116,46,939	1.22	116,46,939	NA	116,46,939	1.22	NA	1.22	-	-	NA		116,46,939
iv) Non Resident Indian Non Repatriable			339	6,88,788	-	-	6,88,788	0.07	6,88,788	-	6,88,788	0.07	-	0.07	-	-	NA		6,88,788
v) Trust			8	40,563	-	-	40,563	0.00	40,563	-	40,563	0.00	-	0.00	-	-	NA		40,563
vi) Any Other (specify)-IEPF			1	14,11,421	-	-	14,11,421	0.15	14,11,421	-	14,11,421	0.15	-	0.15	-	-	NA		14,11,421
vii) Foreign Nationals			2	1,043	-	-	1,043	0.00	1,043	NA	1,043	0.00	NA	0.00	-	-	NA		1,043
Sub- Total (B)(3)			61,851	1028,77,148	-	-	1028,77,148	10.80	1028,77,148	NA	1028,77,148	10.80	NA	10.80	-	-	NA		1005,61,506
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)			61,998	4302,14,984	-	-	4302,14,984	45.18	4302,14,984	NA	4302,14,984	45.18	NA	45.18	-	-	NA		4278,99,342

\$ Shareholding of the public shareholders as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

@ As of September 30, 2020 based on the benpos received from Depositories.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)@	
								No of Voting Rights					Total as a % of Total Voting Rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class eg: X	Class eg: Y	Total								
(1) Custodian/DR Holder	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
(a) Name of DR Holder (If available)																		
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	

\$ Shareholding of the non promoter non public shareholder as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.
 @ As of June 30, 2020 based on the benpos received from Depositories.

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company,					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Nimesh N Kampani	AAHPK2701P		India		J. M. Financial And Investment Consultancy Services Private Limited	AAACJ1237H		India		22.74	22.74		Yes	Yes	26-03-2002
2	Nimesh N Kampani	AAHPK2701P		India		J M Assets Management Private Limited	AAACJ1238J		India		10.82	10.82		Yes	Yes	01-07-1991
3	Nimesh N Kampani	AAHPK2701P		India		JSB Securities Limited	AAACJ1402A		India		0.68	0.68		Yes	Yes	01-07-1991
4	Nimesh N Kampani	AAHPK2701P		India		SNK Investments Private Limited	AAECS8123R		India		1.24	1.24		Yes	Yes	01-07-1991
5	Nimesh N Kampani	AAHPK2701P		India		Persepolis Investment Company Private Limited	AAACP2510P		India		0.17	0.17		Yes	Yes	29-11-2010
6	Nimesh N Kampani	AAHPK2701P		India		Kampani Consultants Limited	AAACK4250F		India		0.07	0.07		Yes	Yes	01-07-1991
7	Nimesh N Kampani	AAHPK2701P		India		JM Financial Trustee Company Private Limited	AAACJ2579E		India		0.12	0.12		Yes	Yes	07-03-2018