JM FINANCIAL

Format of holding of specified securities

Name of Listed Entity: Scrip Symbol: JMFINANCIL

JM FINANCIAL LIMITED Name of Scrip : JM FINANCIAL LIMITED

Class of Security : Equity

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : <u>31.03.2020</u>

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.		Particulars	Yes	No	Promoter and	Public	Non-
					Promoter Group	sharehol	Promoter
						der	Non-
							Public
	1	Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
	2	Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
	3	Whether the Listed Entity has issued any Warrants?		No	No	No	No
	4	Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
	5	Whether the Listed Entity has any shares in locked-in?		No	No	No	No
	6	Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
	7	Whether the company has equity shares with differential voting rights?		No	No	No	No
	8	Whether the company has any significant beneficial owner?	Yes				

JM FINANCIAL

Format of holding of specified securities

Name of Listed Entity:JM FINANCIAL LIMITEDScrip Code : 523405Name of Scrip : JM FINANCIAL LIMITEDClass of Security : EquityShare Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)a.If under 31 (1)(b) then indicate the report for quarter ending : 31.03.2020If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No	Promoter	Public	Non-
				and	shareholder	Promoter
				Promoter		Non-Public
				Group		
1	Whether the Listed Entity has issued any partly paid up shares?		No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?		No	No	No	No
3	Whether the Listed Entity has issued any Warrants?		No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?		No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No	No	No	No
7	Whether the company has equity shares with differential voting rights?		No	No	No	No
8	Whether the company has any significant beneficial owner?	Yes				

Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)

Table I - Summary statement holding of specified securities

Cat gor (I)		No of shareholders (III)\$	No of fully paid up equity shares held (IV)	up equity shares held	- No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	of shares(calculated as per SCRR,		0.0	d in each class of sec		No of shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a	in shar	res (XII)	shares pledged or otherwise encumbered (XIII)		held in dematerialized form (XIV)@
							1957) (VIII) As a % of (A+B+C2)		No of Voting Righ Class eg: Y	ts Total	Total as a % of (A+B+C)	(Including Warrants) (X)	percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(A)	Promoter & Promoter Group*	12	5220,08,258	-	-	5220,08,258	62.05	5220,08,258	-	5220,08,258	62.05	-	62.05	_		-	_	5220,08,258	
(B)	Public	53,323	3192,16,389	-	-	3192,16,389	37.95	3192,16,389	-	3192,16,389	37.95	-	37.95	-	_	-	-	3168,55,747	
(C) Non Promoter- Non Public								NA										
	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	() 0	0	0	0	0	(
	Shares held by Employee Trusts	0		0	0	0	0	0	0	0	0.00	() 0	0	0	0	0	(
	Total	53,335	8412,24,647	-	-	8412,24,647	100.00	8412,24,647	-	8412,24,647	100.00	() 100.00	0	0	0	0	8388,64,005	



Table II - Statement showing shareholding pattern of the promoter and promoter group

Category & Name of the shareholders (I)	PAN (II)	shareh up	No of fully paid- up equity shares held (IV)	Partly paid- up equity shares held (V)	No of shares underlying Depository Receipts (VI)		Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Vo	~	Rights held in each ırities (IX)	class of	No of shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities	Lo	Imber of ocked in ares (XII) Number of shares pledge or otherwise encumbered (XIII)**			dematerialized form (XIV)@
								Class eg: X		g Rights Total	Total as a % of Total Voting	(Including Warrants) (X)	(as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
											Rights							
Indian																		
Individuals/H.U.F		5	1807,01,250	-	-	1807,01,250	21.48	1807,01,250	NA	1807,01,250	21.48	NA	21.48		<u> </u>	-	-	1807,01,250
	AAHPK2701P		1245,00,000	-	-	1245,00,000	14.80	1245,00,000		1245,00,000	14.80		14.80	\square	ļ'			1245,00,000
	AAPPK2879N		354,51,250	-	-	354,51,250	4.21	354,51,250		354,51,250	4.21		4.21		<u> </u>			354,51,250
	ANLPK3297K		80,00,000	-	-	80,00,000	0.95	80,00,000		80,00,000	0.95		0.95		<u> </u>			80,00,000
	AABPK5329F		115,00,000	-	-	115,00,000	1.37	115,00,000		115,00,000	1.37		1.37					115,00,000
1	AAAHN3025Q		12,50,000	-	-	12,50,000	0.15	12,50,000		12,50,000	0.15		0.15					12,50,000
	NA	0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	-	-	-
	NA	0	-	-	-	-	-	-	NA	-	-	NA	-	-	-	-	-	-
Any Other (Specify)																		
a) Bodies Corporate		7	3413,07,008	-	-	3413,07,008	40.57	3413,07,008	NA	3413,07,008	40.57	NA	40.57	-	-	-	-	3413,07,008
J. M. Financial And Investment Consultancy Services	AAACJ1237H		2165,34,100	-	-	2165,34,100	25.74	2165,34,100		2165,34,100	25.74		25.74					2165,34,100
J. M. Assets Management Private Limited	AAACJ1238J		1030,42,908	-	-	1030,42,908	12.25	1030,42,908		1030,42,908	12.25		12.25					1030,42,908
	AAACJ1402A		65,05,000	-	-	65,05,000	0.77	65,05,000		65,05,000	0.77		0.77					65,05,000
	AAECS8123R		117,60,000	-	-	117,60,000	1.40	117,60,000		117,60,000	1.40		1.40					117,60,000
	AAACP2510P		16,50,000	-	-	16,50,000	0.20	16,50,000		16,50,000	0.20		0.20					16,50,000
	AAACK4250F		6,85,000	-	-	6,85,000	0.08	6,85,000		6,85,000	0.08		0.08					6,85,000
	AAACJ2579E		11,30,000	-	-	11,30,000	0.13	11,30,000		11,30,000	0.13		0.13		[]			11,30,000
Sub- Total (A)(1)		12	5220,08,258	-	-	5220,08,258	62.05	5220,08,258	NA	5220,08,258	62.05	NA	62.05	- 1	-	-	-	5220,08,258
Foreign	NA	0	-	-	-	-	-	-	NA		NA	NA	-		-	-	-	-
Individuals (Non-Resident Individuals/ Foreign														┌── ┦				
Individuals)		0	-	-	-	-	-	-	NA	NA	NA	NA	-	1 - 1	_ '	-	-	-
Government		0	-	-	-	-	-	-		NA		NA	-	[- [†]	-	-	-	-
Institutions		0	-	-	-	-	-	-	NA			NA	_	-	-	-	-	-
Foreign Portfolio Investor		0	_	-	-	-	-		NA			NA	_	<u> </u>	-	-	-	-
Any Other (Specify)		0		-	-	-	-		NA			NA	-	-	-	-	-	-
Sub- Total (A)(2)		0	-	-	-	-	-		NA			NA	-		-	-	-	-
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	5220,08,258	-	-	5220,08,258	62.05	5220,08,258		5220,08,258	62.05		62.05	-	-		-	5220,08,258

(a) As of March 31, 2020 based on the benpos received from Depositories.
(**) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011
\$ Shareholding of the Promoter/Promoter Group as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

Mr. Nimesh Kampani (including Nimesh Kampani HUF), alone and together with his relatives viz. Ms. Aruna Kampani, Mr. Vishal Kampani and Ms. Amishi Gambhir hold 21.48% directly and 40.57% indirectly, with the above individuals, through various body corporate(s)



Table III - Statement showing shareholding pattern of the public shareholder

Γ	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculat ed as per	Number of Voting	Rights he	eld in each class of secu	urities (IX)	No of shares Underlying Outstanding convertible securities	Total Shareholding , as a % assuming full conversion of convertible	Number of shares	Locked in (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)@
					neiu (v)			SCRR, 1957)	No o	of Voting	Rights	Total as a	(Including	securities (as a	No. (a)	As a % of	No. (Not As a % of	
									Class eg: X	Class eg: Y	Total	% of Total Voting Rights	Warrants) (X)	percentage of diluted share capital) (XI)		total shares held (b)	applicabl total e) (a) shares held (Not applicable) (b)	
(1)	Institutions																	
- ` <i>´</i>	Mutual Fund/UTI		9	341,37,151	0) 0	341,37,151	4.06	341,37,151	NA	341,37,151	4.06	NA	4.06	() () NA	341,37,151
	Reliance Capital Trustee Co Ltd - A/c Nippon India ETF Dividend Opportunities	AAATR0090B		86,21,827	0	0	86,21,827	1.02	86,21,827	NA	86,21,827	1.02		1.02				86,21,827
(b)	Venture Capital Funds		0	-	0	0 0	-	0	-	NA	-	0.00	NA	0	(0 () NA	-
(c)	Alternate Investment Funds		1	8,93,677	0	0 0	8,93,677	0.11	8,93,677	NA	8,93,677	0.11	NA	0.11	() () NA	8,93,677
(d)	Foreign Venture Capital Investors		0	-	0	0 0	-	0	-	NA	-	0.00	NA	0	() () NA	-
(e)	Foreign Portfolio Investors		130	1758,89,086	0) 0	1758,89,086	20.91	1758,89,086	NA	1758,89,086	20.91	NA	20.91	() () NA	1758,89,086
	Fidelity Investment Trust Fidelity Series Emerging	AAATF2631A		109,50,498	0	0	109,50,498	1.30	109,50,498	NA	109,50,498	1.30	NA	1.30	0	0 0	NA	109,50,498
	The Wellington Trust Company National Association	AAATW1456C		137,07,769	0	0	137,07,769	1.63			137,07,769	1.63		1.63	0	0 0	NA	137,07,769
	Valiant Mauritius Partners Limited	AACCV8506B		121,79,990	0	0	121,79,990	1.49			121,79,990	1.49		1.49	0	0	NA	121,79,990
	Valiant Mauritius Partners Offshore Limited	AACCV8509Q		223,55,503	0	0	223,55,503	2.66	223,55,503	NA	223,55,503	2.66	NA	2.66	0	0 0	NA	223,55,503
	Baron Emerging Markets Fund	AAECB4051F		225,28,302	0	0	225,28,302	2.68	225,28,302	NA	225,28,302	2.68	NA	2.68	0	0 0	NA	225,28,302
	TIMF Holdings	AAFCT0480M		142,88,022	0	0	142,88,022	1.70	142,88,022	NA	142,88,022	1.70	NA	1.70	0	0 0	NA	142,88,022
	SAIF India VI FII Holdings Limited	AAZCS6367E		151,21,775	0	0	151,21,775	1.80	151,21,775	NA	151,21,775	1.80	NA	1.80	0	0 0	NA	151,21,775
(f)	Financial Institutions/Banks		1	1,68,062	0	0 0	1,68,062	0.02	1,68,062	NA	1,68,062	0.02	NA	0.02	(0 () NA	1,68,062
(g)	Insurance Companies		0	-		0	-	0.00	-	NA	-	0.00	NA	0.00	() () NA	-
(h)	Provident Funds/Pension Funds		0	-	0	0 0	-	0	-	NA	-	0.00	NA	0.00	(0 () NA	-
	Sub- Total (B)(1)		141	2110,87,976	0	0 0	2110,87,976	25.09	2110,87,976	NA	2110,87,976	25.09	NA	25.09	(0.00) NA	2110,87,976
(2)	Central Government/State Government(s)/President of India		0	0	0) 0	-	0		NA	-	0.00		0	(0 () NA	-
	Sub- Total (B)(2)		0	0			-	0.00	-	NA	-	0.00	NA	0	(0.00	NA NA	-
(3)	Non- Institutions								-		-	0.00					NA	
· · /	Individuals - i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.		51,558	436,98,726	0	0 0	436,98,726	5.19	436,98,726	NA	436,98,726	5.19	NA	5.19	(0 () NA	413,71,584
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		43	384,88,673	0) 0	384,88,673	4.58	384,88,673	NA	384,88,673	4.58	NA	4.58	() () NA	384,88,673
(b)	NBFCs registered with RBI		5	1,01,614	0	0 0	1,01,614	0.01	1,01,614	NA	1,01,614	0.01	NA	0.01	() () NA	1,01,614
	Employee Trust		0	-	0	0 0	-	0.00		() -	0.00		0.00	() () NA	-
	Overseas Depositories (holding DRs) (balancing figure)		0	-	0	0 0	-	0	-	NA	-	0.00		0	() () NA	-
(e)	Any Other (Specify)		1,576	258,39,400	0	0 0	258,39,400	3.07	258,39,400		258,39,400	3.07		3.07	() () NA	258,05,900
	Bodies Corporate		470	98,51,340	0) 0	98,51,340	1.17			98,51,340	1.17		1.17	() () NA	98,27,840
	Clearing Member		103	3,77,508	0) 0	3,77,508	0.04		-	3,77,508	0.04		0.04	() () NA	3,77,508
- <i>´</i>	Non Resident Indians		657	129,47,692	0) 0	129,47,692	1.54			129,47,692	1.54		1.54	() () NA	129,37,692
Ĺ	Vikram Shankar Pandit	BMBPP6041G		116,46,939	0	0	116,46,939	1.38			116,46,939	1.38		1.38	0	0 0	NA	116,46,939
iv)	Non Resident Indian Non Repatriable		335	7,61,365	0) 0	7,61,365	0.09			7,61,365	0.09		0.09	() () NA	7,61,365
<u>´</u>	Trust		7	39,400	0	0 0	39,400	0.00			39,400	0.00		0.00	() () NA	39,400
vi)	Any Other (specify)-IEPF		1	13,82,624	0) 0	13,82,624	0.16			13,82,624	0.16		0.16	() () NA	13,82,624
	Foreign Nationals		2	443	0) 0	443	0.00		NA	443	0.00		0.00	() () NA	443
viii)	Qualified Institutional Buyer		1	4,79,028	0) 0	4,79,028	0.06			4,79,028	0.06		0.06	() () NA	4,79,028
Ĺ	Sub- Total (B)(3)		53,323	1081,28,413	0) 0	1081,28,413	12.85			1081,28,413	12.85		12.85	(0.00		1057,67,771
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		53,464	3192,16,389	0) 0	3192,16,389	37.95	3192,16,389	NA	3192,16,389	37.95		37.95	(0.00) NA	3168,55,747

\$ Shareholding of the public shareholders as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017.

(@ As of March 31, 2020 based on the benpos received from Depositories.



Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)\$	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	underlying Depository Receipts (VI)	ng as a % of total no. of shares(calc ulated as	Shareholding as a % of total no. of shares(calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	No of	class of se Voting Ri	ecuritie ghts	ts held in each s (IX) Total as a % of Total Voting Rights	Underlying Outstanding convertible securities (Including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	sh No.	r of Locked in ares (XII) As a % of total shares held	pledged	r of shares or otherwise ered (XIII) As a % of total shares held (Not applicable)	Number of equity shares held in dematerialized form (XIV)@
(1)	Custodian/DR Holder	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		NA	NA
(a)	Name of DR Holder (If available)																		
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		NA	NA
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA		NA	NA
\$ Shareholding of the non promoter non public shareholder as above has been consolidated on the basis of PAN in accordance with the SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017. @ As of March 31, 2020 based on the benpos received from Depositories.																			



																AM PINANCIAL
Sr. No.			Details of the SBC				e registered owner PAN				Details of		Date of creation /			
	Name	PAN	Passport	Nationality	Nationality	Name	Nationality	· ·			hether by virt			acquisition of significant		
			No. in case		(Applicable in case of			No. in		(Applicable in case	Shares	Voting	Rights on	Exercise	Exercise of	beneficial interest
			of a foreign		Any other is			case of a		of Any other is		rights	distributabl	of	significant	
			national		selected)			foreign		selected)			e dividend	control	influence	
								national					or any			
													other			
													distribution			
1	Nimesh N Kampani	AAHPK2701P		India		J. M. Financial And Investment Consultancy Services Private	AAACJ1237H		India		25.74	25.74	L	Yes	Yes	26-03-2002
						Limited										
2	Nimesh N Kampani	AAHPK2701P		India		J M Assets Management Private Limited	AAACJ1238J		India		12.25	12.25	5	Yes	Yes	01-07-1991
3	Nimesh N Kampani	AAHPK2701P		India		JSB Securities Limited	AAACJ1402A		India		0.77	0.77	7	Yes	Yes	01-07-1991
4	Nimesh N Kampani	AAHPK2701P		India		SNK Investments Private Limited	AAECS8123R		India		1.4	1.4	l	Yes	Yes	01-07-1991
5	Nimesh N Kampani	AAHPK2701P		India		Persepolis Investment Company Private Limited	AAACP2510P		India		0.2	0.2	2	Yes	Yes	29-11-2010
6	Nimesh N Kampani	AAHPK2701P		India		Kampani Consultants Limited	AAACK4250F		India		0.08	0.08	3	Yes	Yes	01-07-1991
7	Nimesh N Kampani	AAHPK2701P		India		JM Financial Trustee Company Private Limited	AAACJ2579E		India		0.13	0.13	3	Yes	Yes	07-03-2018