

**JM FINANCIAL**

September 23, 2011

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
**Mumbai — 400 051**

Dear Sirs,

**Re: Disclosure u/r 13(6) of SEW (Prohibition of Insider Trading) Regulations, 1992  
(as amended)**

**Symbol: JMFINANCIL**

Pursuant to Sub-Regulation (6) of Regulation 13 of SEBI (Prohibition of Insider Trading) Regulations, 1992 (as amended), we hereby make disclosure of the information received by us from JM Financial Trustee Company Private Limited part of the Promoter Group of the Company, under sub-regulation (4A) of the said Regulation 13 in the prescribed format.

Kindly acknowledge receipt.

Thank you.

Yours faithfully,  
for JM Financial Limited

**P K Choksi**  
Group Head — Compliance, Legal  
& Company Secretary

Encl: a/a

## FORM D

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

[Regulation 13 (4), 13(4A) and 13(6)]

Details of change in shareholding or voting rights held by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company

Name, PAN No. & Address of P-rometer / Person who is part of Promoter Group / Direeter4 Officer	No. add % of shares I voting rights held by Promoter / Person who is part of Promoter Group / Direeter4 Offieer	Date of receipt-of nliotment advice/ acquisition of shares/ sale-of shares i-voting rights	Date of intimation to company	Mode of acquisition (market purchase/ public/ rights/ preferential offer etc.)/ sale	No. & % of shares/ voting rights post acquisition! sale	Trading member through whom the trade was executed with SEW Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy Value (Rs.)	Sell quantity	Sell Value (ts.)
I	2	3	4	5	6	7	8	9	10	11	12
JM Financial Trustee Company Private Limited 141, Maker Chambers III, Nariman Point, Murnbai 400 021 AAACJ2579E	2,449,950• (0.33%)	August 29, 2011	September 23, 2011	Market Purchase	2,454,95D (0.33%)	IM Financial Services Private Limited NBC111054831	BSE	5,00D	90,143	NA	NA
	2,454,950 (0.33%)				2,461,950 (0.33%)	JM Financial Services Private Limited NB231054835	NSE	7,000	126,157	NA	NA
	2,461,950 (0.33%)	September 6, 2011			2,464,20D (0.33%)	IM Financial Services Private Limited INB231054835	NSE	2,250	40,610	NA	NA
	2,464,200 (0.33%)	September 13, 2011			2,467,200 (0.33%)	JM Financial Services Private Limited NB011054831	BSE	3,000	54,250	NA	NA
	2,467,200 (0.33%)				2,468,20D (0.33%)	JM Financial Services Private Limited NB231054835	NSE	1,000	18,050	NA	NA

Name, PAN No, & Address of Promoter! Person who is part of Promoter Group / Di-melee/ Gifier	No. and % of shares / voting rights held by Promoter/ Person who is part of Promoter Group/ Direeter-/ Officer	Date of receipt-of allotment advieei acquisition of shares/ sale-of shares /-voting rights	Date of intimation to company	Mode of acquisition (market purchase/ public/ rights/ preferential offer etc.) / sale	No. & % of shares/ voting rights post acquisition/ sale	Trading member through whom the trade was executed with SERI Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy Value (Rs.)	Sell quantity	Sell Value (Rs)
JM Financial Trustee Company Private Limited 141, Maker Chambers 111, Nariman Point, Mumbai 400 021 AAACJ2579E	2,468,200 (0.33%)	September 14, 2011	September 23, 2011	Market Purchase	2,471,200 (0.33%)	JM Financial Services Private Limited INBOI 1054831	BSE	3,000	54,250	NA	NA
	2,471,200 (0.33%)	September 22, 2011			2,473,557 (0.33%)	TM Financial Services Private Limited MD11054831	BSE	2,357	42,662	NA	NA
	2,473,557 (0.33%)				2,478,927 (0.33%)	JM Financial Services Private Limited INB231054835	UR	5,370	97,197	NA	NA
	2,478,927 (0.33%)	September 23, 2011			2,489,000 (0.33%)	TM Financial Services Private Limited M011054831	BM	10,073	1,78,708	NA	NA
	2,489,000 (0.33%)				2,500,000 (0.33%)	JM Financial Services Private Limited ENB231054835	NSE	11,000	194,834	NA	NA

\* Received short delivery of 50 shares for the purchase made on August 26, 2011. Hence, the total shares held before the current reporting has been shown as 2,449,950 shares instead of 2,450,000 as was reported by us on August 29, 2011.

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