

March 29, 2025

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 542772

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: 360ONE

Dear Sir / Madam,

Subject: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations, 2015")

Pursuant to Regulation 30 of the Listing Regulations, 2015, we wish to inform you that the Company has received warning letters from National Stock Exchange of India Limited and BSE Limited on March 28, 2025.

The details required under Regulation 30 of the Listing Regulations, 2015, are enclosed herewith as Annexure I and copies of warning letters are enclosed herewith as Annexure III and Annexure IV.

Please take the same on your records.

Thank you.
Yours truly,
For 360 ONE WAM LIMITED

Rohit Bhase
Company Secretary
ACS: 21409
Encl.: As above

Details required under Regulation 30 of Listing Regulations, 2015, read with the SEBI Circular bearing reference no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024

Particulars	Details
Name of the authority	National Stock Exchange of India Limited (" NSE ") and BSE Limited (" BSE ")
Nature and details of the action(s) taken or order(s) passed	Issue of warning letters by NSE and BSE
Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	March 28, 2025
Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>The Board of Directors of the Company ("Board") at its meeting held on January 27, 2025, considered the request for reclassification received from certain promoters and promoter group entities.</p> <p>Pursuant to Regulation 31A of the Listing Regulations, 2015, the Company was required to submit application to BSE & NSE ("Applications"), for seeking their no objection within 5 days of consideration of request by the Board i.e. on or before February 1, 2025. The Company submitted the Applications to BSE and NSE, for seeking their no objection on January 30, 2025 i.e. within the statutory timeline of February 1, 2025.</p> <p>Subsequently, as per Regulation 31A(8)(c) of the Listing Regulations, 2015, within 24 hours of submission of the Applications, the Company was required to make an announcement to the stock exchanges informing that it has submitted the Applications. The Company made the said announcement on February 6, 2025, i.e. with a delay of 7 days ("Delay") from submission of Application (instead of statutory timeline of 24 hours). Copy of the said announcement is enclosed herewith as Annexure II.</p> <p>In view of said Delay, the Company has received the warning letters from NSE and BSE.</p>
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no impact on the Company's financials or operations due to the said warning letters.

360 ONE WAM LIMITED

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013

Tel (91-22) 4876 5600 Fax (91-22) 4341 1895 Email secretarial@360.one www.360.one

CIN: L74140MH2008PLC177884

February 6, 2025

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 542772

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: 360ONE

Dear Sir / Madam,

Subject: Intimation under Regulation 31A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations, 2015") - Submission of application to Stock Exchanges upon receipt of request for Re-classification from 'Promoter' Category to 'Public' Category

This is further to our intimations dated January 27, 2025, (a) in respect of receipt of request letter dated January 27, 2025, from Mr. Nirmal Bhanwarlal Jain and Mr. Venkataraman Rajamani, promoters alongwith other members of the promoter group of the Company collectively referred to as "**Part of Promoter Group Entities**" (as enlisted in **Annexure I**), seeking reclassification from "Promoter" category to "Public" category, under Regulation 31A of the Listing Regulations, 2015, and (b) in respect of the outcome of the meeting of Board of Directors of the Company ("**Board**") held on January 27, 2025, in this regard.

We further wish to inform you that as per Regulation 31A(3)(a)(iii) of the Listing Regulations, 2015, the Company was required to submit an application seeking no-objection of BSE Limited and National Stock Exchange of India Limited, for such reclassification request along with the views of the Board within five days of consideration of the request by the Board (i.e. within 5 days from January 27, 2025).

Accordingly and pursuant to Regulation 31A(8)(c) of the Listing Regulations, 2015, we now wish to intimate that the Company on January 30, 2025, has submitted applications to BSE Limited and National Stock Exchange of India Limited, seeking 'no objection' for aforesaid request for reclassification.

We request you to kindly take this on your records.

Thank you.

Yours truly,

For 360 ONE WAM LIMITED

ROHIT
SHRINIWA
AS BHASE

Rohit Bhase
Company Secretary
ACS: 21409
Encl.: As above

Digitally signed by ROHIT BHASE
DN: cn=ROHIT BHASE, o=360 ONE WAM LIMITED, ou=Listing Department, email=rohit.bhase@360one.com, c=IN

360 ONE WAM LIMITED

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013

Tel (91-22) 4876 5600

Fax (91-22) 4341 1895

Email secretarial@360.one

www.360.one

CIN: L74140MH2008PLC177884

List of Part of Promoter Group Entities

S.N.	Name	Category
1.	Nirmal Bhanwarlal Jain	Promoter
2.	Madhu Jain	Promoter Group
3.	Ardent Impex Private Limited	Promoter Group
4.	Orpheus Trading Private Limited	Promoter Group
5.	Nirmal Madhu Family Private Trust (trustee being Mansukhlal Jain and Pritesh Ashwin Mehta)	Promoter Group
6.	Bhavya Jain	Promoter Group
7.	Kalpita Jain	Promoter Group
8.	Harshita Jain	Promoter Group
9.	Spaia Capital Limited	Promoter Group
10.	MNJ Consultants Private Limited	Promoter Group
11.	Sunder Bhawar Ventures Private Limited	Promoter Group
12.	Spaia P2P Limited	Promoter Group
13.	Spaia Corporate Services Limited (Formerly known as Spaia Insurance Brokers Limited)	Promoter Group
14.	Venkataraman Rajamani	Promoter
15.	Aditi Athavankar	Promoter Group
16.	Kalki Family Private Trust (trustee being Aditi Avinash Athavankar)	Promoter Group
17.	Vihaan Venkataraman	Promoter Group
18.	Varun Venkataraman	Promoter Group
	Total	

National Stock Exchange Of India Limited

Ref: NSE/LIST/464

Date: March 28, 2025

The Company Secretary
360 ONE WAM LIMITED
360 ONE Centre, Kamala City Senapati Bapat Marg,
Lower Parel,
Mumbai - 400013.

Subject: Warning Letter

Dear Sir / Madam,

This has reference to your application seeking Reclassification of Promoter(s) under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR").

It has been observed that the Listed Entity was not in compliance with the following regulation:

- a. Pursuant to Reg 31A (8) (c) of SEBI LODR i.e. the material events shall be disclosed by the Listed Entity to the stock exchanges as soon as reasonably possible and not later than twenty-four hours from the submission of the application for seeking no-objection of the recognized Stock Exchanges for reclassification, wherein the disclosure for the said event was made with delay of 07 days.

The aforesaid non-compliance on your part is viewed seriously. You are hereby warned and advised to be careful in future, exercise due caution and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the SEBI LODR and SEBI Circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Listed entity is required to disseminate a copy of this Warning Letter on the Stock Exchanges where they are listed. Additionally, the listed entity is advised to place before their Board of Directors this Warning Letter and the corrective measures taken by the listed entity to avoid the recurrence of such lapses in future.

Yours faithfully,
for **National Stock Exchange of India Limited**

Raksha Jain
Senior Manager – Listing Compliance

This Document is Digitally Signed



Signer: RAKSHA LAXMICHAND JAIN
Date: Fri, Mar 28, 2025 18:39:54 IST
Location: NSE

LIST/COMP/HN/1869/2024-25

March 28, 2025

The Company Secretary/ Compliance Officer

360 ONE WAM LTD

360 ONE Centre,

Kamala City, Senapati Bapat Marg,

Lower Parel (West), Mumbai 400 013.

Sub: Warning letter

Dear Sir/Madam,

This is in reference to the application submitted by 360 ONE WAM LTD ("Company") for seeking Reclassification of Promoter Shareholders under the provisions of Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, (LODR) wherein Regulation 31A(8)(c) states:

Principles governing disclosures and obligations- Regulation 31A(8)(c)

The following event shall be deemed to be material events and shall be disclosed by the listed entity to the stock exchanges as soon as reasonably possible and not later than twenty four hours from the occurrence of the event:

(c) submission of application for re-classification of status as promoter/public by the listed entity to the stock exchanges;

It has been observed that the Company had submitted the application with the Exchange on January 30, 2025. However, disclosure regarding the same has been submitted on February 06, 2025.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be careful in future, exercise due caution and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the Exchange circulars/guidance/communications. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this warning letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this warning letter and the corrective measures taken by the Company to avoid such lapses.

Yours faithfully

**Kunal Borkar**

Manager

Listing Compliance

**Harshad Naik**

Deputy Manager

Listing Compliance