

July 15, 2025

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 542772

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: 360ONE

Dear Sir / Madam,

Subject: - Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations, 2015")

We hereby inform you that 360 ONE Distribution Services Limited ("**360 ONE DSL**"), wholly owned subsidiary of 360 ONE WAM LIMITED ("**Company**"), is in receipt of a communication from National Stock Exchange of India Limited ("**NSE**").

The details required under Regulation 30 of the Listing Regulations, 2015 read with SEBI Circular bearing reference no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, are enclosed herewith as **Annexure A**.

Please take the same on your records.

Thanking you.
Yours faithfully,
For 360 ONE WAM LIMITED

Rohit Bhave
Company Secretary
ACS- 21409
Encl.: As above

Details required under Regulation 30 of Listing Regulations, 2015, read with the SEBI Circular bearing reference no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 and pursuant to SEBI Circular bearing reference no. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2025/25 dated February 25, 2025, on the Industry Standards Note on Regulation 30 of the Listing Regulations, 2015.

Particulars	Details
Name of the authority	National Stock Exchange of India Limited ("NSE")
Nature and details of the action(s) taken, initiated or order(s) passed	Levy of a penalty of Rs. 1,00,000/- (Rupees One Lakhs Only) in terms of the Exchange circular no. NSE/INSP/53530 dated September 02, 2022.
Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	July 14, 2025.
Details of the violation(s)/ contravention(s) committed or alleged to be committed	The penalty is levied by NSE for executing agreement for the transfer of business of Credit Suisse Securities (India) Private Limited to 360 ONE Distribution Services Limited ("DSL") a wholly owned subsidiary of the Company, without seeking prior approval of NSE, resulting to non-compliance of the above-mentioned circular.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on the Company's financials or operations due to levy of the said penalty. However, 360 ONE DSL shall appeal against the imposition of this penalty before the appropriate authority of NSE.