

Dear Investor,

Subject: -Request for Updating the KYC details as per SEBI Circular No.SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 dated 3rd Nov 2021.

We refer to the above circular issued by SEBI that mandates all the listed companies to record the **PAN, Address with PIN code, Email address, Mobile Number, Bank Account details, Specimen Signature and Nomination by holders of physical securities**. This is applicable for all the security holders in physical mode.

The salient features and requirements of the circular are as follows:

- A) Non – updation of KYC - Folios :** wherein any **ONE** of the cited details/documents, (i.e PAN, Address with PIN code, Email address, Mobile Number, Bank Account details, Specimen Signature and Nomination) **are not available on or after April 01, 2023, shall be frozen as per SEBI circular.**

Notes:

1) The securities in the frozen folios shall be:

- Eligible to lodge any grievance or avail service request from the RTA only after furnishing the complete documents / details as aforesaid.
- Eligible for any payment including dividend, interest or redemption payment only through electronic mode upon complying with the above stated requirements.

2) a) The relevant formats for Nomination and Updation of KYC details viz; Forms ISR-1, ISR-2, ISR-3, SH-13, SH-14 and SEBI circular are available on our website as mentioned below;

<https://www.linkintime.co.in> → Resources → Downloads → General → Formats for KYC.

b) Original cancelled cheque leaf bearing the name of the first holder failing which first security holder is required to submit copy of bank passbook / statement attested by the bank which is mandatory for registering the new bank details.

- B) Mandatory Linkage of PAN with Aadhaar -** As per the Central Board of Direct Taxes (CBDT) it is mandatory to link PAN with Aadhaar number by March 31, 2022. Security holders who are yet to link the PAN with Aadhaar number are requested to get the same done before March 31, 2022. Post March 31, 2022 or any other date as may be specified by the CBDT, RTAs shall accept only valid PANs and the ones which are linked to the Aadhaar number. The folios in which PAN is / are not valid as on the notified cut-off date of **March, 31, 2022 or any other date as may be specified by the CBDT, shall also be frozen.**

Note: - Security holders from Sikkim can provide self-attested copy of Aadhaar Card/Voter's Card/Driving License/Passport or any other identity proof as issued by the Govt.

In view of the above, we request you to submit the KYC Form printed on the reverse of this letter, duly completed along with Investor Service Request Form ISR-1 and the required supporting documents as stated in Form ISR-1 at the earliest.

You may use any **ONE** of the following modes for submission:

1. **In Person Verification (IPV):** by producing the originals to the authorised person of the RTA, who will retain copy(ies) of the document(s).
2. **In hard copy:** by furnishing self-attested photocopy(ies) of the relevant document, with date.
3. **With e-sign:**
 - (a) In case your email is already registered with us, you may send the scanned copies of your KYC documents with e-sign at our dedicated email-id: kyc@linkintime.co.in Kindly mention the email subject line as "KYC Updation - (Company Name) - Folio No : _____"
 - (b) Investors can also upload KYC documents with e-sign on our website <https://www.linkintime.co.in> → Investor Services → KYC Compliance.

E-Sign is an integrated service which facilitates issuing a Digital Signature Certificate and performing signing of requested data by e-sign user. You may approach any of the empanelled e-sign service providers available on <https://cca.gov.in/> for the purpose of obtaining e-sign.

Yours faithfully,

For Link Intime India Pvt. Ltd
Investor Relation Cell (IRC)

This is a computer generated letter and hence no signature required. If you have already submitted the documents for updating KYC or have dematerialised your physical securities, please do not submit the documents again.

Dear Investor,

Subject: - Reminder to update KYC details pursuant to SEBI Circular bearing reference no SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated 16th March, 2023 in supersession of earlier circulars issued by SEBI bearing reference no's SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 & SEBI/HO/MIRSD/MIRSD_RTAMB/ P/CIR/2021/687 dated 3rd November, 2021 & 14th December, 2021

We refer to the above circulars issued by SEBI that mandates all the listed companies to record **PAN, Address with PIN code, Mobile Number, Bank Account details, Specimen Signature and Nomination by holders of physical securities . Email ID is optional, however the security holders are requested to register email id also to avail online services.** This is applicable for all security holders, holding shares in physical mode.

The salient features and requirements of the circular are as follows:

- A) Non – updation of KYC : Folios wherein any ONE of the cited details/documents, (i.e. PAN, Bank Details, Address with PIN code, Mobile Number, Bank Account details, Specimen Signature and Nomination) are not available on or after October 01, 2023, shall be frozen as per SEBI circular.**

Notes:

- 1) The securities in the frozen folios shall BE ELIGIBLE:
 - To lodge any grievance or avail of any service from us, only after furnishing the complete documents / details as mentioned above.
 - To receive any payment including dividend, interest or redemption amount (which would be only through electronic mode) only after they comply with the above stated requirements.
- 2) a) The formats for Nomination and Updation of KYC details viz; Forms ISR-1, ISR-2, ISR-3, SH-13, SH-14 and SEBI circular are available on our website as mentioned below:

<https://www.linkintime.co.in> → Resources → Downloads → KYC → Formats for KYC.

b) For registering new bank details or where there is a change in such details, mandatory submission of **Original cancelled cheque leaf** bearing the name of the security holder or alternatively, submission of copy of bank passbook / statement attested by the bank along with Form ISR-2 is required. In case of joint holding, updation of bank details will be done based on the documents provided by the first holder only..

- B) Mandatory Linkage of PAN with Aadhaar – It is mandatory to link PAN with Aadhaar number by June 30,2023, as per the Central Board of Direct Taxes (CBDT). Security holders who are yet to link the PAN with Aadhaar number are requested to get the same done before June 30, 2023. Post June 30, 2023 or any other date as may be specified by the CBDT, we will accept for registration only valid PANs which have been linked to their corresponding Aadhaar number. The securities held in folios which have no PAN registered against the same/or have invalid PAN registered on our records, as on notified cut-off date of June, 30, 2023 or any other date as may be specified by the CBDT, shall also be frozen.**

Note: - Security holders from Sikkim can provide self-attested copy of Aadhaar Card/Voter's Card/Driving License/Passport or any other identity proof as issued by the Govt.

In view of the above, we request you to submit the Investor Service Request Form ISR-1 printed overleaf, along with the required supporting documents as stated in Form ISR-1 at the earliest.

You may use any ONE of the following modes for submission:

1. **In Person Verification (IPV):** by producing the originals to the authorised person of the RTA, who will retain copy(ies) of the document(s). Please note the registered shareholder(s) have to visit the office of the RTA for IPV and not their representatives.
2. **In hard copy:** by furnishing self-attested photocopy(ies) of the relevant document, with date.
3. **With e-sign:**
 - (a) In case your email id is already registered with us, you may send the scanned copies of your KYC documents with additionally **e-sign** affixed on the documents at our dedicated email-id: kyc@linkintime.co.in. Kindly mention the email subject line as **"KYC Updation - (Company Name) - Folio No : _____"**
 - (b) Investors can also upload KYC documents with additionally **e-sign affixed on the documents** on our website <https://www.linkintime.co.in> → Investor Services → KYC Compliance.

E-Sign is an integrated service which facilitates issuing a Digital Signature Certificate and performing signing of requested data by e-sign user. You may approach any of the empanelled e-sign service providers available on <https://cca.gov.in/> for the purpose of obtaining e-sign.

Yours faithfully,
For Link Intime India Pvt. Ltd
Investor Relation Cell (IRC)

This is a computer generated letter and hence no signature is required. If you have already submitted the documents for updating KYC or have dematerialised your physical securities, please do not submit the documents again.

360 ONE WAM LIMITED
(formerly known as IIFL Wealth Management Limited)
CIN: L74140MH2008PLC177884

Regd. Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai-400013

Tel: (+91-22) 4876 5600; **Fax:** (+91-22) 4646 4706
E-mail: secretarial@360.one; **Website:** www.360.one

December 12, 2023

Name of the Member: [•]

Ref: Folio Number: [•]

Dear Member,

Subject: Update on Simplified norms for processing investor's service requests by RTAs and norms for furnishing PAN, KYC details and Nomination

This is in reference to our earlier communications to you dated May 15, 2023 and February 17, 2022, in compliance with SEBI Circular dated March 16, 2023 and SEBI Circular dated November 3, 2021, read with December 14, 2021, respectively, in relation to updation of your PAN, KYC and nomination details etc.

We now wish to update you that SEBI vide circular dated November 17, 2023, has made following amendments in respect to captioned subject matter:

- a) reference to the term "freezing / frozen" **has been deleted**, and
- b) referral of folios by the RTA / listed company to the administering authority under the Benami Transactions Act, 1988, and/or Prevention of Money Laundering Act, 2002, **has been done away with**.

However, other restrictions as specified in Para 19 of Master Circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2023/70 dated May 17, 2023, for Registrars to an Issue and Share Transfer Agents, shall continue to remain in force.

A copy of aforesaid SEBI Circular dated November 17, 2023, is available on the website of Securities and Exchange Board of India i.e. www.sebi.gov.in and website of the Company i.e. www.360.one.

In case of query, please reach out to:

360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013

Tel (91-22) 4876 5600

Fax (91-22) 4646 4706

Email secretarial@360.one

www.360.one

CIN: L74140MH2008PLC177884

**Registrar and Share Transfer Agent**

Link Intime India Pvt Ltd

Address: C-101, 247 Park, L.B.S. Marg,
Vikhroli (West), Mumbai 400083

Email: kyc@linkintime.co.in

Company

360 ONE WAM LIMITED

Address: 360 ONE Centre, Kamala City,
Senapati Bapat Marg, Lower Parel (West),
Mumbai - 400013

Email: secretarial@360.one

Yours faithfully,

For 360 ONE WAM LIMITED

(Formerly known as IIFL Wealth Management Limited)

Sd/-

Rohit Bhave

Company Secretary

ACS-21409

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E-mail: secretarial@360.one; **Website:** www.360.one

March 4, 2024

Name of the Member: [•]

Ref: Folio Number: [•]

Dear Member,

Subject: Updation of PAN, KYC details and Nomination etc.

This is in reference to our earlier communications to you dated December 12, 2023, May 15, 2023 and February 17, 2022, in compliance with SEBI Circular dated November 3, 2021 (subsequently amended by circulars dated December 14, 2021, March 16, 2023 and November 17, 2023) ("**SEBI Circulars**") which inter alia mandated the holders of securities in physical form, to update their PAN, Choice of Nomination, Contact Details, Mobile Number, Bank Account Details and Specimen Signature in respect of their folios.

In this regard, please note that:

- 1. In case of non-updation of PAN or Choice of Nomination or Contact Details or Mobile Number or Bank Account Details or Specimen Signature in respect of your folio, the dividend / interest etc. shall be paid only through electronic mode with effect from April 01, 2024, upon furnishing all the aforesaid details in entirety;**
- 2. If you update the PAN, Choice of Nomination, Contact Details including Mobile Number, Bank Account Details and Specimen Signature after April 01, 2024, then you would receive all the dividends / interest etc. declared during that period (from April 01, 2024 till date of updation) pertaining to the securities held after the said updation automatically.**

Further, relevant FAQs have also been published by SEBI on its website at the following web link for investor awareness:

https://www.sebi.gov.in/sebi_data/faqfiles/jan-2024/1704433843359.pdf

Copies of SEBI Circular(s), communications by the Company to the holders of the equity shares in physical form and relevant forms for updating the aforesaid details are available on the website of the Company at:

www.360.one > [investor-relations.html](http://www.360.one/investor-relations.html) > Investor Information.

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Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013



In case of query, please reach out to:

Registrar and Share Transfer Agent

Link Intime India Pvt Ltd

Address: C-101, 247 Park, L.B.S. Marg,
Vikhroli (West), Mumbai 400083

Email: kyc@linkintime.co.in

Company

360 ONE WAM LIMITED

Address: 360 ONE Centre, Kamala City, Senapati
Bapat Marg, Lower Parel (West), Mumbai - 400013

Email: secretarial@360.one

Yours faithfully,

For 360 ONE WAM LIMITED

(Formerly known as IIFL Wealth Management Limited)

Sd/-

Rohit Bhase

Company Secretary

ACS-21409

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