

<b>General information about company</b>	
Scrip code	542772
NSE Symbol	360ONE
MSEI Symbol	NOTLISTED
ISIN	INE466L01038
Name of the entity	360 ONE WAM LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Nilesh Shivji Vikamsey	AABPV3680Q	00031213	Non-Executive - Independent Director	Chairperson		16-08-1964
2	Mr	Venkataraman Rajamani	ADHPR6633G	00011919	Non-Executive - Non Independent Director	Not Applicable		27-07-1967
3	Mr	Nirmal Bhanwarlal Jain	ABRPJ9235G	00010535	Non-Executive - Non Independent Director	Not Applicable		11-12-1966
4	Mrs	Geeta Mathur	AAKPM7380D	02139552	Non-Executive - Independent Director	Not Applicable		21-11-1966
5	Mr	Karan Bhagat	AEBPB7249B	03247753	Executive Director	Not Applicable	MD	25-04-1977
6	Mr	Yatin Shah	AAMPS4225K	03231090	Non-Executive - Non Independent Director	Not Applicable		27-01-1976
7	Mr	Subbaraman Narayan	ABUPN5095R	00094081	Non-Executive - Independent Director	Not Applicable		20-06-1943
8	Mr	Pankaj Vaish	AABPV3825F	00367424	Non-Executive - Independent Director	Not Applicable		09-02-1962
9	Mr	Rishi Mandawat	AIJPM4245Q	07639602	Non-Executive - Nominee Director	Not Applicable		29-10-1979
10	Mr	Pavninder Singh	BOFPS8350P	03048302	Non-Executive - Nominee Director	Not Applicable		24-10-1976

<b>I. Composition of Board of Directors</b>					
<b>Disqualification of Directors under section 164 of the Companies Act, 2013</b>					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		25-08-2014	30-09-2019		112	7	7	10	5		
2	NA		17-01-2008				3	0	3	1		
3	NA		17-01-2008				2	0	0	0		
4	NA		03-03-2015	11-09-2020		106	7	7	10	5		
5	NA		27-09-2010				2	0	0	0		
6	NA		27-09-2010				1	0	3	0		
7	Yes	30-09-2019	25-06-2019	25-06-2019		54	4	4	5	3		
8	NA		22-01-2020	22-01-2020		47	4	4	6	0		
9	NA		23-11-2022				1	0	2	0		
10	NA		23-11-2022				2	0	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02139552	Geeta Mathur	Non-Executive - Independent Director	Chairperson	30-03-2015		
2	00031213	Nilesh Shivji Vikamsey	Non-Executive - Independent Director	Member	18-06-2013		
3	00367424	Pankaj Vaish	Non-Executive - Independent Director	Member	22-05-2020		
4	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Member	23-11-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02139552	Geeta Mathur	Non-Executive - Independent Director	Chairperson	30-03-2015		
2	00031213	Nilesh Shivji Vikamsey	Non-Executive - Independent Director	Member	30-03-2015		
3	03048302	Pavninder Singh	Non-Executive - Nominee Director	Member	23-11-2022		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00011919	Venkataraman Rajamani	Non-Executive - Non Independent Director	Chairperson	14-05-2019		
2	00367424	Pankaj Vaish	Non-Executive - Independent Director	Member	02-02-2021		
3	03231090	Yatin Shah	Non-Executive - Non Independent Director	Member	14-05-2019		
4	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Member	23-11-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Chairperson	23-11-2022		
2	00011919	Venkataraman Rajamani	Non-Executive - Non Independent Director	Member	14-05-2019		
3	03247753	Karan Bhagat	Executive Director	Member	14-05-2019		
4	00031213	Nilesh Shivji Vikamsey	Non-Executive - Independent Director	Member	14-05-2019		
5	02139552	Geeta Mathur	Non-Executive - Independent Director	Member	14-05-2019		



Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03247753	Karan Bhagat	Executive Director	Chairperson	07-05-2014		
2	00010535	Nirmal Bhanwarlal Jain	Non-Executive - Non Independent Director	Member	07-05-2014		
3	00031213	Nilesh Shivji Vikamsey	Non-Executive - Independent Director	Member	07-05-2014		
4	03048302	Pavninder Singh	Non-Executive - Nominee Director	Member	23-11-2022		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00367424	Pankaj Vaish	Information Technology Strategy Committee	Non-Executive - Independent Director	Chairperson	Textual Information(1)
2	02139552	Geeta Mathur	Information Technology Strategy Committee	Non-Executive - Independent Director	Member	Textual Information(2)
3	00011919	Venkataraman Rajamani	Information Technology Strategy Committee	Non-Executive - Non Independent Director	Member	Textual Information(3)
4	03231090	Yatin Shah	Information Technology Strategy Committee	Non-Executive - Non Independent Director	Member	Textual Information(4)
5	07639602	Rishi Mandawat	Information Technology Strategy Committee	Non-Executive - Nominee Director	Member	Textual Information(5)

<b>Other Committee Text Block</b>	
Textual Information(1)	Date of Appointment- July 25,2022
Textual Information(2)	Date of Appointment- July 25,2022
Textual Information(3)	Date of Appointment- July 25,2022
Textual Information(4)	Date of Appointment- July 25,2022
Textual Information(5)	Date of Appointment- November 23, 2022

## Annexure 1

### Annexure 1

#### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory			Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter					
1	20-07-2023			Yes	10	9	3
2		02-11-2023	104	Yes	10	9	4

## Annexure 1

### IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Textual Information(1)	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting			
1	Audit Committee	19-07-2023				Yes	4	3	2	0
2	Audit Committee	02-11-2023	105			Yes	4	4	3	0
3	Risk Management Committee	10-07-2023				Yes	5	2	1	0
4	Risk Management Committee	05-10-2023	86			Yes	5	4	2	0
5	Other Committee	10-07-2023		Information Technology Strategy Committee		Yes	5	3	2	0
6	Other Committee	05-10-2023	86	Information Technology Strategy Committee		Yes	5	4	2	0

## Annexure 1

### IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Nomination and remuneration committee	19-07-2023				Yes	3	2	1	0
8	Stakeholders Relationship Committee	10-07-2023				Yes	4	2	1	0

<b>Text Block</b>	
Textual Information(1)	<p>I) Audit Committee Meeting held on July 19, 2023 was adjourned to July 20, 2023 for certain matters. Details of attendance of Audit Committee members at the adjourned meeting is as follows:</p> <ol style="list-style-type: none"><li>1) Whether quorum requirement was met - Yes</li><li>2) Total no. of directors in the committee as on date of meeting - 4</li><li>3) No. of directors present (all including independent directors) - 3</li><li>4) No. of independent directors attending the meeting - 2</li><li>5) No. of members attending the meeting (other than Board of Directors) - 0</li></ol>

**Annexure 1****V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



## Annexure 1

## VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

<b>Annexure 1</b>		
<b>Sr</b>	<b>Subject</b>	<b>Compliance status</b>
1	Name of signatory	Rohit Bhave
2	Designation	Company Secretary and Compliance Officer

<b>Details of Cyber security incidence</b>	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

<b>Signatory Details</b>	
Name of signatory	Rohit Bhase
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	16-01-2024

**For 360 ONE WAM LIMITED**  
**(formerly known as IIFL Wealth Management Limited)**



**Rohit Bhase**  
**Company Secretary**  
**ACS 21409**