

## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **360 ONE WAM Limited (Formerly known as IIFL Wealth Management Limited)**
2. Quarter ending - **31-Dec-2022**

#### i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1 A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17 A (1)]	No. of Independent Directorship in listed entities including this listed entity	No. of memberships in Audit/Stakeholder Committee(s) including this listed entity	No. of positions of Chairperson in Audit/Stakeholder Committee	Membership in Committee of the Company	Remarks	







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Company Remarks	Mr. Shanatanu Rastogi, Mr. Sandeep Naik and Mr. Gopalakrishnan Soundarajan ceased to be the directors of the Company w.e.f. November 23, 2022. Accordingly, the details in the columns 'Y' 'Z' 'AA' 'AB' are mentioned as '0' as on December 31, 2022:
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

**ii. Composition of Committees**

**a. Audit Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	23-Nov-2022
3	Nilesh Vikamsey	ID,C & NED	Member	18-Jun-2013	
4	Pankaj Vaish	ID	Member	22-May-2020	
5	Rishi Mandawat	NED,ND	Member	23-Nov-2022	

Company Remarks	.Mr. Shantanu Rastogi ceased to be a member of the Information Technology Strategy Committee on November 23, 2022.
Whether Regular chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
2	Pankaj Vaish	ID	Member	02-Feb-2021	
3	Yatin Shah	NED	Member	14-May-2019	
4	Rishi Mandawat	NED,ND	Member	23-Nov-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Venkataraman Rajamani	NED	Member	14-May-2019	
2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikamsey	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	23-Nov-2022
5	Geeta Mathur	ID	Member	14-May-2019	
6	Rishi Mandawat	NED,ND	Chairperson	23-Nov-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Geeta Mathur	ID	Chairperson	30-Mar-2015	
2	Nilesh Vikamsey	ID,C & NED	Member	30-Mar-2015	
3	Sandeep Naik	NED,ND	Member	25-Feb-2016	23-Nov-2022

4	Pavninder Singh	NED,ND	Member	23-Nov-2022	
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Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
25-Jul-2022	Yes	11	7	3
24-Sep-2022	Yes	11	10	4
19-Oct-2022	Yes	11	10	4
15-Nov-2022	Yes	11	9	3
23-Nov-2022	Yes	11	8	4

Company Remarks	Board Meeting held on September 24, 2022 was adjourned to September 30, 2022 for certain matters. Details of attendance of Board members at the adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 11 3) No. of directors present (all including independent directors) - 11 4) No. of independent directors attending the meeting - 4
Maximum gap between any two consecutive (in number of days)	26

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	21-Jul-2022	Yes	4	4	3	0
Audit Committee	24-Sep-2022	Yes	4	4	3	0
Audit Committee	19-Oct-2022	Yes	4	3	3	0
Audit Committee	15-Nov-2022	Yes	4	4	3	0
Nomination & Remuneratio	10-Oct-2022	Yes	3	3	2	0

n Committee						
Risk Management Committee	05-Jul-2022	Yes	5	3	2	0
Risk Management Committee	10-Oct-2022	Yes	5	4	2	0

Company Remarks	<p>1) Audit Committee Meeting held on July 21, 2022 was adjourned to July 25, 2022 for certain matters. Details of attendance of Audit Committee members at the adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 4 3) No. of directors present (all including independent directors) - 4 4) No. of independent directors attending the meeting - 3</p> <p>1) Audit Committee Meeting held on September 24, 2022 was adjourned to September 30, 2022. Details of attendance of Audit Committee members at the adjourned meeting is as follows: 1) Whether quorum requirement was met - Yes 2) Total no. of directors as on date of meeting - 4 3) No. of directors present (all including independent directors) - 4 4) No. of independent directors attending the meeting - 3</p>
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	26

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**



4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.-  
**Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:



**Name** : **ROHIT BHASE**  
**Designation** : **Company Secretary & Compliance Officer**