

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L74140MH2008PLC177884

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABC18294C

(ii) (a) Name of the company

360 ONE WAM LIMITED

(b) Registered office address

360 ONE Centre Kamala City, Senapati Bapat Marg, Lower Parel
Mumbai
Maharashtra
400013
India

(c) *e-mail ID of the company

secretarial@360.one

(d) *Telephone number with STD code

02248765600

(e) Website

<https://www.360.one/>

(iii) Date of Incorporation

17/01/2008

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of India Ltd	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

21/08/2023

(b) Due date of AGM

30/09/2023

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

14

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	360 ONE DISTRIBUTION SERVICE	U45201MH1995PLC228043	Subsidiary	100
2	360 ONE ASSET MANAGEMENT	U74900MH2010PLC201113	Subsidiary	100

3	360 ONE PRIME LIMITED	U65990MH1994PLC080646	Subsidiary	100
4	360 ONE PORTFOLIO MANAGE	U74120MH2011PLC219930	Subsidiary	100
5	360 ONE ASSET TRUSTEE LIMIT	U74990MH2009PLC193063	Subsidiary	100
6	360 ONE INVESTMENT ADVISEI	U74990MH2010PLC211334	Subsidiary	100
7	360 ONE IFSC LIMITED	U65999GJ2018PLC102974	Subsidiary	100
8	360 ONE FOUNDATION	U80102MH2020NPL336251	Subsidiary	100
9	MAVM ANGELS NETWORK PRIN	U74999MH2015PTC267674	Subsidiary	91
10	360 ONE Inc.		Subsidiary	100
11	360 ONE Asset Management (I		Subsidiary	100
12	360 ONE Private Wealth (Duba		Subsidiary	100
13	360 ONE Capital (Canada) Limi		Subsidiary	100
14	360 ONE Capital Pte. Ltd.		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000,000	356,089,556	356,089,556	356,089,556
Total amount of equity shares (in Rupees)	500,000,000	356,089,556	356,089,556	356,089,556

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	500,000,000	356,089,556	356,089,556	356,089,556
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	500,000,000	356,089,556	356,089,556	356,089,556

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	36,192	88,671,611	88707803	177,415,600	177,415,600	
Increase during the year	102,258	267,279,495	267381753	178,673,950	178,673,950	219,198,893
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	69,230	177,966,882	178036112	178,036,110	178,036,110	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	
v. ESOPs	0	17,332	17332	17,332	17,332	219,198,893
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	

x. Others, specify (balancing figure, details as per note attached)	33,028	89,295,281	89328309	620,506	620,506	0
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	138,450	355,951,106	356089556	356,089,556	356,089,556	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE466L01038

(ii) Details of stock split/consolidation during the year (for each class of shares)

1

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares	132,650,000		
	Face value per share	2		
After split / Consolidation	Number of shares	265,300,000		
	Face value per share	1		

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,498	1000000	2,498,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			2,498,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,498,000,000	0	0	2,498,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

1

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Paper	19,540	500000	9,770,000,000	500000	9,770,000,000
Total	19,540		9,770,000,000		9,770,000,000

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,119,672,424

(ii) Net worth of the Company

22,505,993,946

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	54,754,764	15.38	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,885,712	0.81	0	
10.	Others Trust	20,757,140	5.83	0	
	Total	78,397,616	22.02	0	0

Total number of shareholders (promoters)

14

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	34,085,479	9.57	0	
	(ii) Non-resident Indian (NRI)	6,648,298	1.87	0	
	(iii) Foreign national (other than NRI)	82,000	0.02	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,339,792	0.38	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	107,203,857	30.11	0	
7.	Mutual funds	4,188,302	1.18	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,518,462	1.83	0	
10.	Others Trust and Foreign Company	117,625,750	33.03	0	

	Total	277,691,940	77.99	0	0
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Total number of shareholders (other than promoters)

35,466

**Total number of shareholders (Promoters+Public/
Other than promoters)**

35,480

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AL MEHWAR COMMEF	HSBC SECURITIES SERVICES 11TH F			539,223	0.15
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, H			52,760	0.01
AMERICAN FUNDS DE	DEUTSCHE BANK AG, DB HOUSE H			211,952	0.06
AUSTRALIAN RETIREM	CITIBANK N.A. CUSTODY SERVICES			46,044	0.01
BARON NEW ASIA FUN	HSBC SECURITIES SERVICES 11TH F			3,704	0
BRIGHTHOUSE FUNDS	DEUTSCHE BANK AG, DB HOUSE H			30,880	0.01
CAPITAL GROUP INTE	JPMORGAN CHASE BANK N.A. IND			1,747,220	0.49
DFA INTERNATIONAL	STANDARD CHARTERED BANK SEC			15,396	0
EBK-AKTIEUNIVERSA	JPMORGAN CHASE BANK N.A. IND			23,336	0.01
EMERGING MARKETS I	DEUTSCHE BANK AG, DB HOUSE H			540,036	0.15
ISHARES CORE MSCI T	CITIBANK N.A. CUSTODY SERVICES			205,108	0.06
ISHARES IV PUBLIC LI	CITIBANK N.A. CUSTODY SERVICES			50,048	0.01
K INDIA OPPORTUNITI	KOTAK MAHINDRA BANK LIMITED			175,372	0.05
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES			141,150	0.04
SAS TRUSTEE CORPOR	JPMORGAN CHASE BANK N.A. IND			14,028	0
STATE STREET GLOBAL	DEUTSCHE BANK AG, DB HOUSE H			39,392	0.01
STELLAR WEALTH PAR	251, LITTLE FALLS DRIVE, COUNTR			7,512	0
VANGUARD TOTAL IN	JPMORGAN CHASE BANK N.A. IND			1,971,400	0.55
WORLD ALLOCATION	CITIBANK N.A. CUSTODY SERVICES			10,057	0
ABU DHABI INVESTME	JPMORGAN CHASE BANK N.A. IND			308,384	0.09

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			10,948	0
CAPITAL GROUP CAPI	JPMORGAN CHASE BANK N.A. IND			90,968	0.03
CAPITAL GROUP INTE	JPMORGAN CHASE BANK, N.A. IND			164,797	0.05
CLSA GLOBAL MARKE	HSBC SECURITIES SERVICES 11TH F			82,392	0.02
COLLEGE RETIREMENT	DEUTSCHE BANK AG DB HOUSE, H			135,064	0.04

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	15	14
Members (other than promoters)	30,850	35,466
Debenture holders	29	107

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	3	1	3	0.17	12.37
B. Non-Promoter	0	5	0	4	0	0.03
(i) Non-Independent	0	1	0	0	0	0
(ii) Independent	0	4	0	4	0	0.03
C. Nominee Directors representing	0	2	0	2	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	2	0	2	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0

Total	1	10	1	9	0.17	12.4
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Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Karan Bhagat	03247753	Managing Director	596,716	
Yatin Shah	03231090	Director	13,725,960	
Nirmal Bhanwarlal Jain	00010535	Director	24,915,424	
Venkataraman Rajamar	00011919	Director	5,396,816	
Nilesh Vikamsey	00031213	Director	94,284	
Geeta Mathur	02139552	Director	0	
Subbaraman Narayan	00094081	Director	0	
Pankaj Vaish	00367424	Director	0	
Rishi Mandawat	07639602	Nominee director	0	
Pavninder Singh	03048302	Nominee director	0	
Sanjay Tulsio Wadhwa	AAFPW8459G	CFO	2,000	
Rohit Shriniwas Bhase	AJXPB9612K	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Shantanu Rastogi	06732021	Nominee director	23/11/2022	Cessation
Sandeep Naik	02057989	Nominee director	23/11/2022	Cessation
Gopalakrishnan Sounda	05242795	Director	23/11/2022	Cessation
Rishi Mandawat	07639602	Additional director	23/11/2022	Appointment
Pavninder Singh	03048302	Additional director	23/11/2022	Appointment
Rishi Mandawat	07639602	Nominee director	29/12/2022	Change in Designation
Pavninder Singh	03048302	Nominee director	29/12/2022	Change in Designation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General meeting	30/09/2022	28,280	55	22.93
Extra Ordinary General mee	27/10/2022	28,042	50	19.3
Extra Ordinary General mee	29/12/2022	29,448	49	7.11
Extra Ordinary General mee	15/02/2023	33,187	49	13.9
Extra Ordinary General mee	02/03/2023	34,447	47	16.01

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	04/05/2022	11	10	90.91
2	25/05/2022	11	9	81.82
3	25/07/2022	11	7	63.64
4	24/09/2022	11	10	90.91
5	30/09/2022	11	11	100
6	19/10/2022	11	10	90.91
7	15/11/2022	11	9	81.82
8	23/11/2022	11	8	72.73
9	19/01/2023	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held

20

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	03/05/2022	4	4	100
2	Audit Committee	04/05/2022	4	3	75
3	Audit Committee	21/07/2022	4	4	100
4	Audit Committee	25/07/2022	4	4	100
5	Audit Committee	24/09/2022	4	4	100
6	Audit Committee	30/09/2022	4	4	100
7	Audit Committee	19/10/2022	4	3	75
8	Audit Committee	15/11/2022	4	4	100
9	Audit Committee	19/01/2023	4	4	100
10	Nomination and	08/04/2022	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	21/08/2023
								(Y/N/NA)
1	Karan Bhagat	9	8	88.89	5	1	20	Yes
2	Yatin Shah	9	8	88.89	3	3	100	Yes
3	Nirmal Bhanw	9	9	100	1	0	0	Yes
4	Venkataramar	9	7	77.78	7	5	71.43	Yes
5	Nilesh Vikams	9	9	100	17	16	94.12	Yes
6	Geeta Mathur	9	9	100	18	18	100	Yes
7	Subbaraman N	9	7	77.78	0	0	0	Yes
8	Pankaj Vaish	9	9	100	12	12	100	No
9	Rishi Mandaw	2	2	100	4	4	100	Yes
10	Pavninder Sin	2	2	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Karan Bhagat	Managing Director	59,279,700	65,000,000	0	1,583,300	125,863,000
	Total		59,279,700	65,000,000	0	1,583,300	125,863,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rohit Shriniwas Bha	Company Secretary	9,690,920	0	0	0	9,690,920
2	Sanjay Tulsio Wadh	CFO	20,220,355	0	98,725	0	20,319,080
	Total		29,911,275	0	98,725	0	30,010,000

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Nilesh Vikamsey	Independent Director	0	1,750,000	0	1,800,000	3,550,000
2	Geeta Mathur	Independent Director	0	1,750,000	0	1,900,000	3,650,000
3	Subbaraman Naray	Independent Director	0	625,000	0	600,000	1,225,000
4	Pankaj Vaish	Independent Director	0	1,375,000	0	1,600,000	2,975,000
	Total		0	5,500,000	0	5,900,000	11,400,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Mehta and Mehta

Whether associate or fellow

Associate Fellow

Certificate of practice number

18186

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

YATIN SHAH
Digitally signed by YATIN SHAH
Date: 2023.08.23
20:44:29 +05'30'

DIN of the director

03231090

To be digitally signed by

ROHIT SHRINIWA S BHASE
Digitally signed by ROHIT SHRINIWA S BHASE
Date: 2023.08.23
20:45:40 +05'30'

Company Secretary

Company secretary in practice

Membership number

21409

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

- Attach
- Attach
- Attach
- Attach

List of attachments

- MGT-8.pdf
- MGT 7 ATTACHMENTS.pdf

Remove attachment

Modify

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Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

To,
Registrar of Companies,
Mumbai, Maharashtra.

SUBJECT: Enclosures to E-form MGT-7 OF 360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited) (CIN L74140MH2008PLC177884)

Dear Sir/Madam,

With reference to the captioned subject and due to constraints in fields provided on the following information, we enclose herewith documents in “Optional Attachments(s), if any” tab of the E-form MGT-7.

Annexure	Point no. in Form MGT 7	Description of attachment	Reason for separate attachment
I	IV (i) (d)	Break up of paid up capital of the Company	This section of the form auto calculates the numbers due to which correct break up of paid up capital is not reflected and the amount is adjusted in ‘Others’ column in order to arrive at correct paid up share capital at the end of financial year 2022-23. However, the correct break up of paid up share capital is represented in Annexure I .
II	IV (ii)	Details of Stock Split	In the form, the break-up of share capital pre and post split is mentioned with respect to authorised share capital of the Company only. Details of authorized, issued, subscribed and paid-up capital pre and post split is provided in Annexure II .
III	VI (c)	Complete list of Foreign Institutional Investor and Foreign Portfolio Investor	The form has limitations for entering details pertaining to Foreign Institutional Investor and Foreign Portfolio Investor. Accordingly, a complete list is

360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai - 400 013

			presented in Annexure III .
-	IX B	BOARD MEETINGS	For the purpose of point IX B 5, please note, Board meeting held on September 30, 2023, was adjourned meeting of Board meeting held on September 24, 2023.
IV	IX C	Complete list of committee meetings	The form has limitations for entering details pertaining to list of committee meetings. Accordingly, a complete list is presented in Annexure IV .
-	IX D	Mr. Rishi Mandawat and Mr. Pavninder Singh also attended the Board meeting held on November 23, 2022, as invitees, where they were also appointed as the additional directors of the Company.	Accordingly, the attendance of Mr. Rishi Mandawat and Mr. Pavninder Singh under point X(D) in the form is calculated as 2. However, the same is not considered for the purpose of attendance details of board meeting of 23 rd November 2022, for the point IX(B).
V	X	Remuneration details of Managing Director of the Company	An additional information is provided in Annexure V for better clarity.

Thanking you,
For 360 ONE WAM LIMITED
 (Formerly known as IIFL Wealth Management Limited)

Sd/-
Rohit Bhase
Company Secretary
ACS 21409

Annexure I

Break-up of paid-up share capital

Class of shares	Number of shares			Face value (in Rs.)	Total nominal amount	Total Paid-up amount	Securities Premium
	Physical	DEMAT	Total				
Equity shares							
At the beginning of the year	36,192	8,86,71,611	8,87,07,803	2	17,74,15,606	17,74,15,606	0
ESOP allotments before sub-division and bonus allotment	0	3,10,253	310253	2	6,20,506	6,20,506	21,81,46,029
Total Paid up capital before sub-division and bonus allotment	36,192	8,89,81,864	8,90,18,056	2	17,80,36,112	17,80,36,112	-
Share Capital post sub division	69220	17,79,66,892	17,80,36,112	1	17,80,36,112	17,80,36,112	-
Increase in share capital due to bonus allotment	69230	17,79,66,882	17,80,36,112	1	17,80,36,112	17,80,36,112	-
Increase in share capital due to ESOP allotment	0	17,332	17,332	1	17,332	17,332	10,52,865
Total paid up share capital as on March 31, 2023	1,38,450	35,59,51,106	35,60,89,556	1	35,60,89,556	35,60,89,556	-

360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai – 400 013

Tel (91-22) 4876 5600 Fax (91-22) 4646 4706 Email secretarial@360.one www.360.one

CIN: L74140MH2008PLC177884

Details of stock split/consolidation during the year (for each class of shares):

Class of Shares		Authorised capital	Issued Capital	Subscribed Capital	Paid up Capital
Before Split	Number of equity shares	13,26,50,000	8,90,18,056	8,90,18,056	8,90,18,056
	Nominal value per share (in rupees)	2	2	2	2
After Split	Number of equity shares	26,53,00,000	17,80,36,112	17,80,36,112	17,80,36,112
	Nominal value per share (in rupees)	1	1	1	1

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360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)				
Details in respect of shares held by or on behalf of the FIIs/ FPIs.				
Sr. No	Name Of FII/FPI	Address	No. of Share	% of share holding
1	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (TREEFISH)	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	539223	0.1514
2	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	52760	0.0148
3	AMERICAN FUNDS DEVELOPING WORLD GROWTH AND INCOME FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	211952	0.0595
4	AUSTRALIAN RETIREMENT TRUST MANAGED BY STATE STREET GLOBAL ADVISORS, AUSTRALIA LIMITED - SUQO	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	46044	0.0129
5	BARON NEW ASIA FUND	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	3704	0.001
6	BRIGHTHOUSE FUNDS TRUST I - SSGA EMERGING MARKETS ENHANCED INDEX PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	30880	0.0087
7	CAPITAL GROUP INTERNATIONAL ALL COUNTRIES EQUITY TRUST (US)	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	1747220	0.4907
8	DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	15396	0.0043
9	EBK-AKTIEUNIVERSAL-FONDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	23336	0.0066
10	EMERGING MARKETS LEADERS TRUST	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 1 400001	540036	0.1517
11	ISHARES CORE MSCI TOTAL INTERNATIONAL STOCK ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	205108	0.0576
12	ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	50048	0.0141
13	K INDIA OPPORTUNITIES FUND LIMITED - CLASS P	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I, CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	175372	0.0493

14	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	141150	0.0396
15	SAS TRUSTEE CORPORATION POOLED FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	14028	0.0039
16	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS SMALL CAP ESG SCREENED EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	39392	0.0111
17	STELLAR WEALTH PARTNERS INDIA FUND I LP	251, LITTLE FALLS DRIVE, COUNTRY OF NEW CASTLE, WILMINGTON DELAWARE, 19808 111111	7512	0.0021
18	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	1971400	0.5537
19	WORLD ALLOCATION 60/40 FUND OF DIMENSIONAL FUNDS PLC	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	10057	0.0028
20	ABU DHABI INVESTMENT AUTHORITY - MONSOON	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	308384	0.0866
21	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	10948	0.0031
22	CAPITAL GROUP CAPITAL INCOME BUILDER (LUX)	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	90968	0.0255
23	CAPITAL GROUP INTERNATIONAL ALL COUNTRIES EQUITY COMMON TRUST (US)	JPMORGAN CHASE BANK, N.A. INDIA SUB CUSTODY, 6th FLOOR PARADIGM B, MINDSPACE MALAD W MUMBAI 400064	164797	0.0463
24	CLSA GLOBAL MARKETS PTE. LTD.	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	82392	0.0231
25	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	135064	0.0379
26	COPTHALL MAURITIUS INVESTMENT LIMITED - ODI ACCOUNT	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	2035	0.0006
27	DFA INTERNATIONAL VECTOR EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	9788	0.0027
28	DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	6936	0.0019
29	EMERGING MARKETS EX CHINA CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	19376	0.0054

30	EMERGING MARKETS LEADERS FUND I	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	91044	0.0256
31	EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	19720	0.0055
32	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	8040	0.0023
33	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA 400001	1984	0.0006
34	KABOUTER EMERGING MARKETS FUND, LLC	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	195644	0.0549
35	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	3108	0.0009
36	MORGAN STANLEY INVESTMENT FUNDS EMERGING LEADERS EQUITY FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	3377044	0.9484
37	PEOPLE'S BANK OF CHINA	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	9404	0.0026
38	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	429988	0.1208
39	QIC LISTED EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	16692	0.0047
40	SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	284	0.0001
41	STATE STREET EMERGING MARKETS SMALL CAP ACTIVE NON-LENDING QIB COMMON TRUST FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	135136	0.038
42	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	9332	0.0026
43	UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	5524	0.0016
44	WASHINGTON STATE INVESTMENT BOARD MANAGED BY STATE STREET GLOBAL ADVISORS GUARANTEED EDUCATION TUITION-GET	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	1856	0.0005

45	WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	1664	0.0005
46	AMERICAN FUNDS INSURANCE SERIES CAPITAL INCOME BUILDER	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	220416	0.0619
47	AUSTRALIAN RETIREMENT TRUST MANAGED BY STATE STREET GLOBAL ADVISORS, AUSTRALIA LIMITED - SUQP	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	3608	0.001
48	BOFA SECURITIES EUROPE SA	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	880	0.0002
49	CAPITAL INCOME BUILDER	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	18920520	5.3137
50	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	32911	0.0092
51	DIMENSIONAL EMERGING MARKETS CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	17624	0.0049
52	DOVETAIL GLOBAL FUND PCC - PARAGON PARTNERS EQUITY FUND	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI 400051	61565	0.0173
53	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	46232	0.013
54	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	291472	0.0819
55	ISHARES CORE MSCI EMERGING MARKETS IMI INDEX ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	12937	0.0036
56	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	14104	0.004
57	PGIM FUNDS PLC - PGIM QUANT SOLUTIONS EMERGING MARKETS ALL-CAP EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	12488	0.0035
58	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	24372	0.0068
59	SMALLCAP WORLD FUND, INC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	28485776	8
60	SPDR PORTFOLIO EMERGING MARKETS ETF	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	42336	0.0119

61	STATE STREET EMERGING MARKETS ENHANCED NON-LENDING COMMON TRUST FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	43420	0.0122
62	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	24904	0.007
63	ULUWATU MASTER FUND LIMITED	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	123160	0.0346
64	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	24360	0.0068
65	VANGUARD FUNDS PLC - VANGUARD ESG EMERGING MARKETS ALL CAP UCITS ETF	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	552	0.0002
66	WF ASIAN RECONNAISSANCE FUND LIMITED	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	10596280	2.9759
67	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	296036	0.0831
68	CAPITAL GROUP INTERNATIONAL EQUITY FUND (CANADA)	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	557909	0.1567
69	CITY OF NEW YORK GROUP TRUST	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	90028	0.0253
70	DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	404560	0.1136
71	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	781012	0.2193
72	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	47676	0.0134
73	EMERGING MARKETS SUSTAINABILITY CORE EQUITY FUND OF DIMENSIONAL FUNDS PLC	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	14600	0.0041
74	FIRST SENTIER INVESTORS ICVC - FSSA INDIAN SUBCONTINENT ALL-CAP FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	69464	0.0195
75	GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	2943460	0.8266
76	INDIA PREMIUM FUND	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	5960	0.0017

77	INTERNATIONAL MONETARY FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	28400	0.008
78	ISHARES CORE MSCI EMERGING MARKETS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	1538064	0.432
79	SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	7228	0.002
80	STICHTING SHELL PENSIOENFONDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	18300	0.0051
81	UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF UI-E	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI 400064	56448	0.0159
82	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	95200	0.0267
83	VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	69072	0.0194
84	VIRGINIA RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	95092	0.0267
85	ACM GLOBAL FUND VCC	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	1292390	0.363
86	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPITALIZATION FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	2054000	0.5768
87	BVK PERSONALVORSORGE DES KANTONS ZURICH	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	25104	0.0071
88	CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - STATE STREET GLOBAL ADVISORS TRUST COMPANY 1	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	119492	0.0336
89	CAPITAL GROUP CAPITAL INCOME BUILDER (CANADA)	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	55016	0.0155
90	FUNDPARTNER SOLUTIONS (SUISSE) S.A.-RP - FONDS INSTITUTIONNEL - ACTIONS MARCHES EMERGENTS	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	18648	0.0052
91	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	43112	0.0121
92	ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	63600	0.0179

93	MERCURY SERIES UNION MID AND SMALL CAP FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	84848	0.0238
94	SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI 400054	49300	0.0138
95	STATE OF ALASKA RETIREMENT AND BENEFITS PLANS MANAGED BY CAPITAL INTERNATIONAL, INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	598088	0.168
96	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS ESG SCREENED ENHANCED EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	38804	0.0109
97	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	44532	0.0125
98	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	7712	0.0022
99	AMERICAN CENTURY ETF TRUST-AVANTIS RESPONSIBLE EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	2192	0.0006
100	BAVARIA INDUSTRIES GROUP AG	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI 400097	1072868	0.3013
101	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI 400051	9719	0.0027
102	BNYM MELLON DB SL ACWI EX-U.S. SMALL CAP FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	14300	0.004
103	CF DV ACWI EX-U.S. IMI FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	1200	0.0003
104	CHURCH COMMISSIONERS FOR ENGLAND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	351760	0.0988
105	DFA GLOBAL SUSTAINABILITY CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	1900	0.0005
106	DHANDHO INDIA ZERO FEE FUND OFFSHORE LTD.	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI 400097	542227	0.1523
107	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	16360	0.0046

108	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	63788	0.0179
109	STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS SRI ENHANCED EQUITY FUND	49, AVENUE J.F. KENNEDY L-1855 , LUXEMBOURG LUXEMBOURG 9999999999	28520	0.008
110	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	666252	0.1871
111	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	77520	0.0218
112	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	344740	0.0968
113	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	5632	0.0016
114	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	6676	0.0019
115	LGT SELECT FUNDS - LGT SELECT EQUITY EMERGING MARKETS	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	299284	0.0841
116	MORGAN STANLEY INSTITUTIONAL FUND, INC. - EMERGING MARKETS LEADERS PORTFOLIO	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	1136618	0.3192
117	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	6880	0.0019
118	POLUS GLOBAL FUND	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI 400013	42220	0.0119
119	PUB EQUITIES EMERGING MARKETS 1	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	28200	0.0079
120	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	5016	0.0014
121	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	35812	0.0101
122	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	2087752	0.5863

123	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	893448	0.2509
124	VANGUARD INVESTMENTS FUNDS ICVC- VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	10444	0.0029
125	AXA ROSENBERG EQUITY ALPHA TRUST - AXA ROSENBERG ALL COUNTRY ASIA PACIFIC EX-JAPAN SMALL CAP ALPHA FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	12648	0.0036
126	CC&l Q EMERGING MARKETS EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	800	0.0002
127	DELA DEPOSITARY & ASSET MANAGEMENT B.V. AS RESPONSIBLE ENTITY TO DELA EQUITY FUND 5	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	6272	0.0018
128	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	23904	0.0067
129	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	56908	0.016
130	FIRST STATE INDIAN SUBCONTINENT FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	1182728	0.3322
131	ISHARES MSCI INDIA SMALL-CAP ETF	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	165850	0.0466
132	PGIM QUANT SOLUTIONS EMERGING MARKETS SMALL CAP EQUITY FUND OF THE PRUDENTIAL TRUST COMPANY COLLECTIVE TRUST	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	7500	0.0021
133	SPDR S&l EMERGING MARKETS SMALL CAP ETF	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	108852	0.0306
134	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	48732	0.0137
135	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	54372	0.0153
136	THE SCOTTISH ORIENTAL SMALLER COMPANIES TRUSTPLC	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	1477156	0.4148
137	ABU DHABI INVESTMENT AUTHORITY - XENON	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	14910	0.0042

138	BANK MUSCAT INDIA FUND	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	7198984	2.0218
139	BUREAU OF LABOR FUNDS- LABOR PENSION FUND-ENHANCED GLOBAL EMERGING MARKETS DYNAMIC MULTI-FACTOR EQUITY-STATE STREET GLOBAL ADVISORS ASIA LIMITED-ERE60	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY, 6th FLOOR PARADIGM B, MINDSPACE, MALAD W MUMBAI 400064	18676	0.0052
140	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC - FIRST STATE INVESTMENTS INTERNATIONAL LIMITED	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	1471020	0.4131
141	COPTHALL MAURITIUS INVESTMENT LIMITED - NON ODI ACCOUNT	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	8	0
142	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	454632	0.1277
143	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	40316	0.0113
144	FIDELITY INVESTMENT TRUST : FIDELITY EMERGING MARKETS FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	3968456	1.1145
145	GLOBAL ALL CAP ALPHA TILTS FUND	JPMORGAN CHASE BANK, N.A. INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI 400064	24636	0.0069
146	GOLDMAN SACHS INVESTMENTS (MAURITIUS) I LTD	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	6735	0.0019
147	MERCER QIF FUND PLC-MERCER INVESTMENT FUND 1	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	11900	0.0033
148	NORTHERN TRUST COLLECTIVE EMERGING MARKETS EX CHINA INVESTABLE MARKET INDEX FUND - NON-LENDING	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	5552	0.0016
149	WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	150269	0.0422
150	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	36444	0.0102
151	QIC INTERNATIONAL EQUITIES FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	3176	0.0009
152	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	5408	0.0015

153	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	26912	0.0076
154	STATE STREET ACTIVE EMERGING MARKETS NON-LENDING COMMON TRUST FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	6912	0.0019
155	STATE STREET ACTIVE EMERGING MARKETS SECURITIES LENDING FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	18124	0.0051
156	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	288588	0.081
157	TT ASIA EX JAPAN EQUITY FUND A SUB FUND OF TT INTERNATIONAL FUNDS PLC	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	8816	0.0025
158	TT ASIA-PACIFIC EQUITY FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	287386	0.0807
159	ULLICO DIVERSIFIED INTERNATIONAL EQUITY FUND, L.P.	8403 COLESVILLE ROAD 13TH FLR SILVER SPRING MD 20910 UNITED STATES 999999	5837	0.0016
160	UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF BAYVK A3-FONDS	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI 400051	85844	0.0241
161	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	578368	0.1624
162	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	14056	0.0039
Total			107203857	30.1071

List of committee meetings of 360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited) for FY 2022-23

S. No.	Type of Meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance Number of members attended	% of attendance
1.	Audit Committee	May 3, 2022	4	4	100
2.	Adjourned Audit Committee	May 4, 2022	4	3	75
3.	Audit Committee	July 21, 2022	4	4	100
4.	Adjourned Audit Committee	July 25, 2022	4	4	100
5.	Audit Committee	September 24, 2022	4	4	100
6.	Adjourned Audit Committee	September 30, 2022	4	4	100
7.	Audit Committee	October 19,2022	4	3	75
8.	Audit Committee	November 15, 2022	4	4	100
9.	Audit Committee	January 19,2023	4	4	100
10.	Corporate Social Responsibility Committee	March 15, 2023	4	2	50
11.	Nomination and Remuneration Committee	April 8, 2022	3	3	100
12.	Nomination and Remuneration Committee	May 25, 2022	3	2	66.67
13.	Nomination and Remuneration Committee	October 10,2022	3	3	100
14.	Risk Management Committee	April 8, 2022	5	3	60
15.	Risk Management Committee	July 5, 2022	5	3	60
16.	Risk Management Committee	October 10,2022	5	4	80
17.	Risk Management Committee	January 6,2023	5	3	60

360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai - 400 013

18.	Stakeholder Relationship Committee	March 15, 2023	4	4	100
19.	Information Technology Strategy Committee	October 10,2022	5	5	100
20.	Information Technology Strategy Committee	February 20,2023	5	4	80

360 ONE WAM LIMITED (Formerly known as IIFL Wealth Management Limited)

Corporate & Registered Office: 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West), Mumbai - 400 013

Details of remuneration of Managing Director of the Company:

Name of the Director	Designation	Salary and perquisites (In Rs.)	Commission (In Rs.)	Contribution to Provident Fund, Employee Pension Fund and other funds
Mr. Karan Bhagat	Managing Director	592,79,700	650,00,000 [^]	15,83,300

[^] Commission in respect of the financial year 2021-22, paid in financial year 2022-23

Mehta & Mehta

COMPANY SECRETARIES

201-206 SHIV SMRITI, 2ND FLOOR, 49/A, DR. ANNIE BESANT ROAD, ABOVE CORPORATION BANK, WORLI, MUMBAI-400 018
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AUTHORISED AGENTS FOR TRADEMARK, COPYRIGHT AND PATENT

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **360 ONE WAM LIMITED (formerly known as IIFL Wealth Management Limited) ("the Company")** as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder **for the financial year ended on March 31, 2023**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers / records & making entries therein within the time prescribed thereof;
 3. filing of forms and returns as stated in the annual return with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities within the prescribed time save and except **e-Form SH-7 for sub-division of equity shares and increase in authorized share capital and Form PAS-3 for allotment of bonus shares with the payment of additional fees due to constant technical glitches on website of Ministry of Corporate Affairs;**
 4. calling/convening/holding meetings of Board of Directors or its Committees, ~~if any~~, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular



resolutions ~~and resolutions passed by postal ballot, if any,~~ have been properly recorded in the Minute Book / registers maintained for the purpose and the same have been signed;

5. closure of Register of Members/~~Security holders, as the case may be;~~
6. advances / loans to its Directors and / or persons or firms or companies referred in section 185 of the Act **(during the financial year under review not applicable to the Company);**
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission ~~or buy back~~ of securities/~~redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities~~ and issue of security certificates ~~in all instances;~~
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act **(during the financial year under review not applicable to the Company);**
10. declaration / payment of dividend / transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with 125 under The Companies Act, 2013;
11. signing of audited financial statements as per the provisions of section 134 of the Act and report of Directors is as per sub-sections (3), ~~(4)~~ and (5) thereof;
12. constitution / appointment / re-appointments / resignation / ~~filling up casual vacancies~~ / disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment / re-appointment / ratification / filling up casual vacancies of auditors as per the provisions of Section 139 of the Act **(during the financial year under review not applicable to the Company);**
14. approvals required to be taken from the Central Government, Tribunal, ~~Regional Director, Registrar, Court~~ or such other authorities under the various provisions of the Act;
15. acceptance / renewal / repayment of deposits **(during the financial year under review not applicable to the Company);**



16. borrowings from ~~its directors, members, public financial institutions, banks and others~~ and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. alteration of the provisions of the Memorandum and ~~er~~ Articles of Association of the Company;

For Mehta & Mehta,
Company Secretaries,
(ICSI Unique Code P1996MH007500)




Atul Mehta
Partner

FCS No : 5782

Place : Mumbai

UDIN : F005782E000671942

CP No. : 2486

Date : July 25, 2023